

MEMORANDUM

To: Commissioners:

Robert M. Pickett, Chair
Stephen McAlpine
Rebecca L. Pauli
Norman Rokeberg
Janis W. Wilson

Date: April 12, 2017

From: Jess Manaois, Common Carrier Specialist

Subject: Revisions to the IXC registration application and Tariff Content Verification forms

STATEMENT OF THE CASE

Unless required under 3 AAC 52.360(a) to obtain a Certificate of Public Convenience and Necessity an entity proposing to provide intrastate interexchange telephone service or alternate operator service is required to register under 3 AAC 52.358. Once “registered,” the registered (IXC) is required to annually renew its registration on a form prescribed by the Commission. Whenever a registered IXC first files its tariff or proposes tariff revisions it is required to include in their tariff filing a completed Tariff Content Verification Form. The initial application form, the application for renewal, and the Tariff Content Verification Form prescribed by the Commission were approved at a public meeting. Because of recent changes to regulations, Staff brings forward proposed revisions to the registration application and renewal forms, and the Tariff Content Verification Form.

STAFF RECOMMENDATIONS

Commission Staff (Staff) recommends the Commission adopt the proposed revisions to:

- a. Registration application forms RCA Form RE301 and RCA Form RE302;
- b. Renewal of registration application form RCA Form RE303; and
- c. Tariff Content Verification Form.

PROCEDURAL BACKGROUND

In Docket R-00-004, the Commission adopted regulations governing the criteria for intrastate IXC telephone competition,¹ and made changes to the IXC application procedures including the detariffing of prepaid calling cards services, simplifying tariff rules, and introducing the registration of IXCs.² One of the provisions was to exempt certain smaller types of IXCs,

¹See Order R-00-004(6), *Order Adopting Regulations, as Amended*, dated April 14, 2003.

²See Order R-00-004(2), *Order Issuing Proposed Regulations for Comment and Establishing*

typically resellers, from the certification requirements of AS 42.05.221, and allow them to “register” with the Commission.³

Shortly after the regulations were adopted, at the May 7, 2003 public meeting,⁴ the Commission approved several forms, including the registration and renewal of registration application forms and the Tariff Content Verification Form, which requires the IXC to indicate whether the proposed tariff filing complies with certain regulations, including 3 AAC 52.370(e).

In 2013, in Docket R-12-005, the Commission, repealed 3 AAC 52.370(e) thus, eliminating the requirement that IXCs offering 1-plus direct dialing make the service statewide.⁵ The repeal of 3 AAC 52.370(e) made the current Tariff Content Verification form obsolete. Further in 2016, the Commission amended the electronic filing regulations (3 AAC 48.095) allowing permissive electronic submission of tariff revisions and other filings, including registration applications.⁶

DISCUSSION

As the current version of the registration forms was adopted at a public meeting, the Commission at a recent adjudication, directed Staff to propose its recommended changes to the forms necessitated by Dockets R-12-005 and R-15-002 at a future public meeting. Staff was also advised that any changes made to the forms should be consistent with current regulations.

Attached are proposed revisions to the IXC registration applications, RCA Form RE301 and RCA Form RE302; the registration renewal application, RCA Form RE303; and the Tariff Content Verification Form. Staff has provided two versions of the forms. The first, a redline version (with comments), and then a clean version of the proposed changes incorporated into the forms.

Hearing and Filing Schedule, dated April 15, 2002 (“Order R-00-004(2)”) at 2. The Commission stated that the regulations would improve the application procedures for small IXCs and would promote efficient processing and competitive entry into the interexchange market while continuing to protect public interest.

³Order R-00-004(2) at 3-4. Registration applies to all IXCs except: facilities-based IXCs or an affiliate of such; IXCs or alternate operator service providers over a certain threshold of billable minutes; incumbent LECs or an affiliate of such; or inmate calling service providers (3 AAC 52.360(a)).

⁴May 7, 2003 Tr. at 28 – 35.

⁵See Order R-12-005(5), *Order Readopting Regulations*, dated August 26, 2013.

⁶See Order R-15-002(3), *Order Adopting Regulations*, dated May 23, 2016.

RCA Form RE301

IXCs that are not eligible for certification must register with the Commission under 3 AAC 52.358(b) by completing the registration application RCA Form RE301. The proposed revisions include:

- added a reference to the electronic filing regulation;
- cited regulations;
- eliminated the requirement to file a copy of the applicant's proposed tariff in a disk;
- updated the link to the State of Alaska Business Licensing webpage;
- removed redundant requirements; and
- added a revision number and the adoption date of the revised form

RCA Form RE302

Previously certificated IXCs that are no longer eligible for certification are required to transition to a registered IXC under 3 AAC 52.358(c) by completing the registration application RCA Form RE302. (Staff notes that this form is very rarely used). The proposed revisions include:

- added a reference to the electronic filing regulation;
- cited regulations;
- removed redundant requirements and requirements not required in regulations; and
- added a revision number and the adoption date of the revised form.

RCA Form RE303

Registered IXCs are required to renew their registration by January 31 of each year by filing a completed RCA Form RE303. The proposed changes include:

- added an Instructions Section to be consistent with RCA Form RE301 and RCA Form RE302;
- added a reference to the electronic filing regulation;
- cited regulations;
- added a requirement to provide proof of authority to do business in Alaska;
- added a link to the State of Alaska Business Licensing webpage;
- added a requirement to provide a contact person regarding the application; and
- added a revision number and the date the revised forms were adopted.

Docket R-15-004

Staff notes that the most current proposal for Docket R-15-004, a docket opened to look at the Commission's reporting requirements, recommends a revision to 3 AAC 52.358(g), adding an additional filing requirement to the IXC registration renewal process. For illustration purposes, Staff has included an attachment to a possible future version of RCA Form RE303 if the revision to 3 AAC 52.358(g) is adopted as currently drafted. Staff is not

asking the Commission to approve this version of the form at this time, as Staff believes it will need to present the further revision for approval at a future public meeting.

Tariff Content Verification Form

In addition to filing RCA Form RE301 or RCA Form RE302, an IXC eligible for registration must also file a Tariff Content Verification Form, indicating that the proposed tariff filing complies with certain regulations, including 3 AAC 52.370(e). Further, a currently registered IXC that proposes a tariff revision is also required to submit a Tariff Content Verification Form. The proposed revisions to the Tariff Content Verification Form includes

- deleted reference to 3 AAC 52.370(e) to reflect the regulation being repealed in Docket R-12-005;
- cited the regulations requiring the form;
- added emphasis to which of the requirements **must** be or **may** be filed; and
- added a revision number and the date the revised form was adopted.

RECOMMENDATION

Staff recommends that the Commission adopt the proposed revisions to RCA Form RE301, RCA Form RE302, RCA Form RE303, and the Tariff Content Verification Form as presented at the April 12, 2017 Public Meeting.

RCA Form RE301

Current Form

For Staff Use Only

File #: _____

Entity #: _____

Entity Name: _____

Date Filed: _____

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND
ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO
CERTIFICATION:¹ -- REGISTRATION (For applicants not currently certificated
to provide intrastate interexchange telecommunications)²**

Instructions: Please complete sections 1 – 3 below. Submit a signed original and two copies of this application to the Regulatory Commission of Alaska,³ along with an original and two copies (except as noted otherwise) of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska")
- \$5,000 bond if applicant will require prepayment of any service
- \$1,000 bond if applicant will not require prepayment of any service
- paper version of the applicant's initial online tariff in accordance with 3 AAC 52.367
- diskette containing html version of the applicant's initial online tariff in accordance with 3 AAC 52.367 (one diskette only)
- a signed tariff content verification form
- proof of authority to do business in Alaska (see <http://www.dced.state.ak.us/occ/buslic.htm>)
- request for waiver of Commission rule(s) (if needed).

Section 1: Preliminary Questions for Applicant:

- (i) Has this applicant previously attempted to register under 3 AAC 52.358?
Yes _____ No _____
- (ii) If the answer to the previous question was yes, please provide the following information:
 - a. What was the exact company name used for the last filing?

 - b. When was the previous application filed? _____

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for alternate operator service (AOS) providers and IXC's not currently certificated. Certificated IXC's converting to registration must use RCA Form RE302. Registered entities seeking to reregister must file RCA Form RE303.

³ 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

- c. What RCA file or receipt number was assigned to your previous application, if any? _____
- (iii) Is the applicant requesting a waiver of any Commission rules?
Yes _____ No _____
- (iv) Has the applicant been involved in any administrative and judicial proceedings in a state or federal jurisdiction that resulted in suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? Yes _____ No _____
- (v) Has the applicant, its officers, directors, or affiliates ever abandoned service in violation of applicable statutes, regulations, or orders?
Yes _____ No _____
- (vi) Determination of Bond Amount:
- a. Will applicant be providing prepaid services? Yes _____ No _____
- b. If applicant will be providing prepaid services, has it provided a \$5,000 bond?
Yes _____ No _____
- c. If applicant will not be providing prepaid services, has it provided a \$1,000 bond? Yes _____ No _____

Section 2:

The applicant must complete the following items. Please print or type.

- (1) What is the applicant's legal name and the name under which the applicant proposes to do business?
- (2) What are the addresses of the applicant's principal national and Alaskan place of business?
- (3) What are the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?

(4) What is the applicant's business structure (corporation, partnership, etc.; including proof of incorporation and name and address of registered agent, if applicable)?

(5) Please provide proof of the applicant's authority to do business in Alaska.

(6) Please provide a list of the owners of five percent or more of the applicant's equity.

(7) Please provide a list of the applicant's affiliated interests, as defined in AS 42.05.990(1).

(8) Please provide a list of all administrative and judicial proceedings that resulted in:

(A) suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services;

(B) a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or

(C) an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates;

(9) Please provide a list of all cases and locations in which the applicant, or its officers, directors, or affiliates, have abandoned service in violation of applicable statutes, regulations, or orders.

(10) Please provide a list of the names, titles, and responsibilities of key management now employed or to be employed by the applicant, and resumes for each person.

(11) Please provide a list of all services proposed, together with an explanation of the applicant's technical ability to provide the proposed services?

(12) Please provide a completed tariff content verification form.

(13) What is the Internet address of the applicant's on-line tariff?

(14) Please indicate whether resold services will be obtained from:

- ☐ (A) another carrier's intrastate wholesale tariff;
- ☐ (B) another carrier's intrastate retail tariff;
- ☐ (C) an interstate contract or tariff; or
- ☐ (D) some other mechanism.

If (D), please explain: _____

Section 3:

Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to file for registration under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

**Revised
(Redline)**

For Staff Use Only
 File #: _____
 Entity #: _____
 Entity Name: _____
 Date Filed: _____

Commented [MJPR(1): The For Staff Use Only is not used. Staff recommends deleting the box but leave space for the received date stamp.

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND
 ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO
 CERTIFICATION;¹ -- REGISTRATION UNDER 3 AAC 52.358 (For applicants not
 currently certificated to provide intrastate interexchange telecommunications)²**

Instructions: Please complete sections 1 – 3 below. In accordance with 3 AAC 48.095, file a complete Submit a signed original and two copies of this application electronically or on paper to the Regulatory Commission of Alaska,³ along with an original and two copies (except as noted otherwise) of:

Commented [MJPR(2): Need to address electronic filing set out in 3 AAC 48.095, as this was added to the regulations recently.

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3 AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- ~~paper version of a copy of the applicant's initial online tariff in accordance with 3 AAC 52.367 (3 AAC 52.358(b)(12))~~
- ~~a diskette containing html version of the applicant's initial online tariff in accordance with 3 AAC 52.367 (one diskette only)~~
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- proof of incorporation, if applicable (3 AAC 52.358(b)(4))
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx> ~~http://www.dced.state.ak.us/ocg/buslic.htm~~)
- request for waiver of Commission rule(s) (if needed).

Commented [MJPR(3): Added cite to the regulations

Commented [MJPR(4): Applicants can now file an application and proposed tariff electronically so requiring a paper copy may not apply all the time.

Commented [MJPR(5): 3 AAC 52.358 does not require filing of a disk.

Commented [MJPR(6): Updated link to the Alaska Business Licensing webpage.

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service;-- an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a certificate of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for alternate operator service (AOS) providers and IXC's not currently certificated. Current ~~Certificated~~ ~~certificated~~ IXC's converting to registration under 3 AAC 52.358(c) must use RCA Form RE302. A ~~currently~~ ~~Registered entities~~ ~~entity~~ seeking to reregister ~~renew its registration~~ under 3 AAC 52.358(g) must file RCA Form RE303.

³ 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

RCA Form RE301

Section 1: Preliminary Questions for Applicant:

(i) Has this applicant previously attempted to register under 3 AAC 52.358?

Yes ☐ No ☐

(ii) If the answer to the previous question was yes, please provide the following information:

a. What was the exact company name used for the last filing? _____

b. When was the previous application filed? _____

c. What RCA file or receipt number was assigned to your previous application, if any? _____

(iii) Is the applicant requesting a waiver of any Commission rules?

Yes ☐ No ☐

(iv) ~~Has the applicant been involved in any administrative and judicial proceedings in a state or federal jurisdiction that resulted in suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? Yes ☐ No ☐~~

~~(v) Has the applicant, its officers, directors, or affiliates ever abandoned service in violation of applicable statutes, regulations, or orders? Yes ☐ No ☐~~

(iv) Determination of Bond Amount:

a. Will applicant be providing prepaid services? Yes ☐ No ☐

b. If applicant will be providing prepaid services, has it provided a \$5,000 bond? Yes ☐ No ☐

c. If applicant will not be providing prepaid services, has it provided a \$1,000 bond?

Yes ☐ No ☐

Commented [MJPR(7): Staff recommends deleting these questions here because they are asked in Section 2 below.

Section 2:

The applicant must complete the following items. Please print or type.

~~(3 AAC 52.358(b)(1))(1)~~ What is the applicant's legal name and the name under which the applicant proposes to do business?

~~(3 AAC 52.358(b)(2))(2)~~ What are the addresses of the applicant's principal national and Alaskan place of business? _

~~(3 AAC 52.358(b)(3))(3)~~ What are the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?

Application Form RE301

Registration for AOS Providers and New IXC's

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v2.04/12/2017

RCA Form RE301

~~(3 AAC 52.358(b)(4)) (4) What is the applicant's type of business structure (corporation, partnership, LLC, etc.; including proof of incorporation and name and address of registered agent, if applicable)? If incorporated provide name and address of registered agent. -~~

Commented [MJPR(8): Proof of incorporation asked for in Instructions.

~~(5) Please provide proof of the applicant's authority to do business in Alaska.~~

Commented [MJPR(9): Already asked for in instructions

~~(3 AAC 52.358(b)(6)) (6) Please provide a list of the owners of five percent or more of the applicant's equity. -~~

~~(3 AAC 52.358(b)(7)) (7) Please provide a list of the applicant's affiliated interests, as defined in AS 42.05.990(1). -~~

~~(8) Please provide a list of all administrative and judicial proceedings that resulted in:~~

~~(A) (3 AAC 52.358(b)(8)(A)) suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services;~~

~~(B) (3 AAC 52.358(b)(8)(B)) a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or~~

~~(C) (3 AAC 52.358(b)(8)(C)) an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates;~~

~~(3 AAC 52.358(b)(9)) (9) Please provide a list of all cases and locations in which the applicant, or its officers, directors, or affiliates, have abandoned service in violation of applicable statutes, regulations, or orders.~~

~~(3 AAC 52.358(b)(10)) (10)~~ Please provide a list of the names, titles, and responsibilities of key management now employed or to be employed by the applicant, and resumes for each person.

~~(3 AAC 52.358(b)(11)) (11)~~ Please provide a list of all services proposed, together with an explanation of the applicant's technical ability to provide the proposed services?...

~~(12) Please provide a completed tariff content verification form...~~

Commented [MJPR(10)]: Already asked for in instructions.

~~(3 AAC 52.358(b)(14)) (14)~~ What is the Internet address of the applicant's on-line tariff?...

~~(3 AAC 52.358(b)(15)) (15)~~ Please indicate whether resold services will be obtained from:

- ☐ (A) another carrier's intrastate wholesale tariff;
- ☐ (B) another carrier's intrastate retail tariff;
- ☐ (C) an interstate contract or tariff; or
- ☐ (D) some other mechanism.

If (D), please explain the selection above:

Commented [MJPR(11)]: The proposed revision will require the applicant to provide a narrative response for each selection.

Section 3:

~~(3 AAC 52.358(b)(16)) (16)~~ Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to file for registration under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

**Revised
(Clean)**

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND
ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO
CERTIFICATION;¹ -- REGISTRATION UNDER 3 AAC 52.358 (For applicants not
currently certificated to provide intrastate interexchange telecommunications)²**

Instructions: Please complete sections 1 – 3 below. In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska,³ along with an original and two copies (except as noted otherwise) of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3 AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- a copy of the applicant's initial online tariff in accordance with 3 AAC 52.367 (3 AAC 52.358(b)(12))
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- proof of incorporation, if applicable (3 AAC 52.358(b)(4))
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx>)
- request for waiver of Commission rule(s) (if needed)

Section 1: Preliminary Questions for Applicant:

(i) Has this applicant previously attempted to register under 3 AAC 52.358?

Yes____ No____

(ii) If the answer to the previous question was yes, please provide the following information:

- a. What was the exact company name used for the last filing? _____
- b. When was the previous application filed? _____
- c. What RCA file or receipt number was assigned to your previous application, if any? _____

(iii) Is the applicant requesting a waiver of any Commission rules?

Yes ____ No ____

(iv) Determination of Bond Amount:

- a. Will applicant be providing prepaid services? Yes____ No____
- b. If applicant will be providing prepaid services, has it provided a \$5,000 bond? Yes____ No____

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for alternate operator service (AOS) providers and IXC's not currently certificated. Current certificated IXC's converting to registration under 3 AAC 52.358(c) must use RCA Form RE302. A currently registered entity seeking to renew its registration under 3 AAC 52.358(g) must file RCA Form RE303.

³ 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

- c. If applicant will not be providing prepaid services, has it provided a \$1,000 bond?
Yes___ No___

Section 2:

The applicant must complete the following items. Please print or type.

(3 AAC 52.358(b)(1)) What is the applicant's legal name and the name under which the applicant proposes to do business?

(3 AAC 52.358(b)(2)) What are the addresses of the applicant's principal national and Alaskan place of business?

(3 AAC 52.358(b)(3)) What is the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?

(3 AAC 52.358(b)(4)) What is the applicant's type of business structure (corporation, partnership, LLC, etc.)? If incorporated provide name and address of registered agent.

(3 AAC 52.358(b)(6)) Please provide a list of the owners of five percent or more of the applicant's equity.

(3 AAC 52.358(b)(7)) Please provide a list of the applicant's affiliated interests, as defined in AS 42.05.990(1).

Please provide a list of all administrative and judicial proceedings that resulted in:

(A) (3 AAC 52.358(b)(8)(A)) suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services;

(B) (3 AAC 52.358(b)(8)(B)) a reprimand, penalty, or conviction of the applicant or its officers,

directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or

(C) (3 AAC 52.358(b)(8)(C)) an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates;

(3 AAC 52.358(b)(9)) Please provide a list of all cases and locations in which the applicant, or its officers, directors, or affiliates, have abandoned service in violation of applicable statutes, regulations, or orders.

(3 AAC 52.358(b)(10)) Please provide a list of the names, titles, and responsibilities of key management now employed or to be employed by the applicant, and resumes for each person.

(3 AAC 52.358(b)(11)) Please provide a list of all services proposed, together with an explanation of the applicant's technical ability to provide the proposed services?

(3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

(3 AAC 52.358(b)(15)) Please indicate whether resold services will be obtained from:

- ☐ (A) another carrier's intrastate wholesale tariff;
- ☐ (B) another carrier's intrastate retail tariff;
- ☐ (C) an interstate contract or tariff; or
- ☐ (D) some other mechanism.

Please explain the selection above:

Section 3:

(3 AAC 52.358(b)(16)) Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to file for registration under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

RCA Form RE302

Current Form

For Staff Use Only

File #: _____

Entity #: _____

Entity Name: _____

Date Filed: _____

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND
ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO
CERTIFICATION¹ -- REGISTRATION (for current holders of intrastate
interexchange telecommunications certificates)²**

Instructions: Please complete Sections 1 – 3 below. Submit a signed original and two copies of this application to the Regulatory Commission of Alaska,³ along with an original and two copies of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska")
- \$5,000 bond if applicant will require prepayment of any service
- \$1,000 bond if applicant will not require prepayment of any service
- paper version of the applicant's initial online tariff in accordance with 3 AAC 52.367
- diskette containing html version of the applicant's initial online tariff in accordance with 3 AAC 52.367 (one diskette only)
- a signed tariff content verification form
- proof of authority to do business in Alaska (see <http://www.dced.state.ak.us/occ/buslic.htm>)
- request for waiver of Commission rule(s) (if needed)
- certificate parchment (original only) or request and justification for waiver of that portion of 3 AAC 52.358(c).

Section 1: Preliminary Questions for Applicant:

- (i) Has this applicant previously attempted to register under 3 AAC 52.358?
Yes____ No____
- (ii) If the answer to the previous question was YES, please provide the following information:

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for certificated IXC's converting to registration. Alternate operator service (AOS) providers and IXC's not currently certificated must use RCA Form RE301. Registered entities seeking to reregister must file RCA Form RE303.

³ 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

- (a) What was the exact company name used for the last filing?

- (b) When was the previous application filed? _____
- (c) What RCA file or receipt number was assigned to your previous application, if any? _____
- (iii) Is the applicant requesting a waiver of any Commission rules?
Yes _____ No _____
- (iv) Has the applicant been involved in any administrative and judicial proceedings in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? Yes _____ No _____
- (v) Has the applicant, its officers, directors, or affiliates ever abandoned service in violation of applicable statutes, regulations or orders?
Yes _____ No _____
- (vi) Determination of bond amount:
- (a) Will applicant be providing prepaid services? Yes _____ No _____
- (b) If applicant will be providing prepaid services, has it provided a \$5,000 bond?
Yes _____ No _____
- (c) If applicant will not be providing prepaid services, has it provided a \$1,000 bond? Yes _____ No _____

Section 2:

The applicant must complete the following items. Please print or type.

- (1) What is the applicant's legal name and the name under which the applicant proposes to do business?
- _____
- (2) What are the addresses of the applicant's principal national and Alaskan place of business?
- _____
- (3) What are the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?
- _____

(4) Please provide a completed tariff content verification form.

(5) What is the Internet address of the applicant's on-line tariff?

(6) Please explain whether resold services will be obtained from:

____(A) another carrier's intrastate wholesale tariff;

____(B) another carrier's intrastate retail tariff;

____(C) an interstate contract or tariff; or

____(D) some other mechanism.

If (D), please explain: _____

Section 3:

Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

**Revised
(Redline)**

For Staff Use Only

File #: _____

Entity #: _____

Entity Name: _____

Date Filed: _____

Commented [MJPR(1)]: The For Staff Use Only is not used. Staff recommends deleting the box but leave space for the received date stamp.

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND
ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION¹**
**-- REGISTRATION UNDER 52.358(c) (for current holders of intrastate
interexchange telecommunications certificates)²**

Instructions: Please complete Sections 1 -- 3 below. Submit a signed original and two copies of this in accordance with 3 AAC 48.095, file a complete application to the Regulatory Commission of Alaska,³ along with an original and two copies of:

Commented [MJPR(2)]: Need to address electronic filing set out in 3 AAC 48.095, as this was added to the regulations recently.

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3 AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- paper version of the applicant's initial online tariff in accordance with 3 AAC 52.367
- diskette containing html version of the applicant's initial online tariff in accordance with 3 AAC 52.367 (one diskette only)
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- proof of authority to do business in Alaska (see <http://www.dced.state.ak.us/ccc/buslic.htm>)
- certificate parchment (original only) or request and justification for waiver of that portion of 3 AAC 52.358(c)
- request for waiver of Commission rule(s) (if needed applicable)
- certificate parchment (original only) or request and justification for waiver of that portion of 3 AAC 52.358(e)

Commented [MJPR(3)]: 3 AAC 52.358 does not require filing of a disk.

Commented [MJPR(4)]: Delete because this information is not required in 3 AAC 52.358(c).

Commented [MJPR(5)]: Switched these bullet points

Section 1: Preliminary Questions for Applicant:

- (i) Has this applicant previously attempted to register under 3 AAC 52.358?

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for currently certificated IXC's converting to registration. Alternate operator service (AOS) providers and IXC's not currently certificated must use RCA Form RE301. Currently Registered registered entities seeking to reregister renew its registration under 3 AAC 52.358(g) must file RCA Form RE303.

³ 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

RCA Form RE302

- Yes ☐ No ☐
- (ii) If the answer to the previous question was YES, please provide the following information:
- (a) What was the exact company name used for the last filing?

- (b) When was the previous application filed? _____
- (c) What RCA file or receipt number was assigned to your previous application, if any? _____
- (iii) Is the applicant requesting a waiver of any Commission rules?
Yes ☐ No ☐
- (iv) ~~Has the applicant been involved in any administrative and judicial proceedings in a state or federal jurisdiction that resulted in suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates?~~
Yes ☐ No ☐
- (v) ~~Has the applicant, its officers, directors, or affiliates ever abandoned service in violation of applicable statutes, regulations or orders?~~
Yes ☐ No ☐
- (vi)(iv) Determination of bond amount:
Will applicant be providing prepaid services? Yes ☐ No ☐
If yes, provide a \$5,000 bond. If no, provide a \$1,000 bond.
- (a) ~~If applicant will be providing prepaid services, has it provided a \$5,000 bond?~~
Yes ☐ No ☐
~~If applicant will not be providing prepaid services, has it provided a \$1,000 bond?~~
Yes ☐ No ☐

Commented [MJPR(6)]: These are not required in 3 AAC 52.358(c).

Commented [MJPR(7)]: Simplified the questions.

Section 2:

The applicant must complete the following items. Please print or type.

(3 AAC 52.358(b)(1)) What is the applicant's legal name and the name under which the applicant proposes to do business?

(3 AAC 52.358(b)(2)) What ~~is~~ are the addresses of the applicant's principal national and Alaskan place of business?

(3 AAC 52.358(b)(3)) What ~~are~~ is the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?

RCA Form RE302

(4) ~~Please provide a completed tariff content verification form.~~

Commented [MJPR(8)]: This is already asked in the instructions.

(3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

(6) ~~Please explain whether resold services will be obtained from:~~

- ~~___ (A) another carrier's intrastate wholesale tariff;~~
- ~~___ (B) another carrier's intrastate retail tariff;~~
- ~~___ (C) an interstate contract or tariff; or~~
- ~~___ (D) some other mechanism.~~

Commented [MJPR(9)]: This is not required in 3 AAC 52.358(c).

~~If (D), please explain:~~

Section 3:

(3 AAC 52.358(b)(16)) Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

**Revised
(Clean)**

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND
ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION¹
-- REGISTRATION UNDER 52.358(c) (for current holders of intrastate
interexchange telecommunications certificates)²**

Instructions: Please complete Sections 1 – 3 below. In accordance with 3 AAC 48.095, file a complete application to the Regulatory Commission of Alaska,³ along with:

- \$100 registration fee (check or money order only, payable to “Regulatory Commission of Alaska”) (3 AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- the applicant's initial online tariff in accordance with 3 AAC 52.367
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- certificate parchment (original only) or request and justification for waiver of that portion of 3 AAC 52.358(c)
- request for waiver of Commission rule(s) (if applicable)

Section 1: Preliminary Questions for Applicant:

- (i) Has this applicant previously attempted to register under 3 AAC 52.358?
Yes _____ No _____
- (ii) If the answer to the previous question was YES, please provide the following information:
 - (a) What was the exact company name used for the last filing?
 - (b) When was the previous application filed? _____
 - (c) What RCA file or receipt number was assigned to your previous application, if any? _____
- (iii) Is the applicant requesting a waiver of any Commission rules?
Yes _____ No _____

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for currently certificated IXCs converting to registration. Alternate operator service (AOS) providers and IXCs not currently certificated must use RCA Form RE301. Currently registered entities seeking to renew its registration under 3 AAC 52.358(g) must file RCA Form RE303.

³ 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

- (iv) Determination of bond amount:
Will applicant be providing prepaid services? Yes___ No___
If yes, provide a \$5,000 bond. If no, provide a \$1,000 bond.

Section 2:

The applicant must complete the following items. Please print or type.

(3 AAC 52.358(b)(1)) What is the applicant's legal name and the name under which the applicant proposes to do business?

(3 AAC 52.358(b)(2)) What are the addresses of the applicant's principal national and Alaskan place of business?

(3 AAC 52.358(b)(3)) What is the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?

(3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

Section 3:

(3 AAC 52.358(b)(16)) Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

RCA Form RE303

Current Form

For Staff Use Only

File #: _____

Entity #: _____

Entity Name: _____

Date Filed: _____

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE
CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT
SUBJECT TO CERTIFICATION¹ -- Annual Reregistration²**

- (1) Is the applicant currently registered under 3 AAC 52.358? ____Yes____ No
(If NO, applicant needs to file registration form RE301³ or RE302⁴ instead of this form.)
- (2) If the answer to the previous question was YES, please provide the following information:
- (a) What was the exact company name used for the last filing?

- (b) When was the previous application filed? _____
- (c) What RCA file or receipt number was assigned to your previous application, if any?

- (3) Are you requesting a waiver of any Commission rules with this reregistration application?
____Yes ____ No
- (4) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for registered entities seeking to reregister. Alternate operator service (AOS) providers and IXC's not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXC's converting to registration must use RCA Form RE302.

³ RCA Form RE301: Application Form For Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

⁴ RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? ____Yes____ No

(5) Determination of Bond Amount:

(a) Does applicant provide prepaid services? ____Yes____ No

(b) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA? ____Yes____ No

(If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify.)

(c) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA? ____Yes____ No

(Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

(6) Is applicant currently in compliance with 3 AAC 52.358(e) and (f)? ____Yes____ No

(7) Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.

Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

Revised
before R-15-004
(Redline)

For Staff Use Only

File #: _____
Entity #: _____
Entity Name: _____
Date Filed: _____

Commented [MJPR(1)]: This box is not used by Staff so it should be deleted consistent with revised RE301 and RE302.

**APPLICATION FORM FOR INTRASTATE
INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR
SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION¹ (3 AAC
52.358(g)) -- Annual Reregistration²**

Instructions: In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska, along with:

Commented [MJPR(2)]: Added instructions section to be consistent with Forms RE301 and RE302.

- \$50 annual registration renewal fee (check or money order only, payable to "Regulatory Commission of Alaska")
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx>)
- request for waiver of Commission rule(s) (if applicable)

Commented [MJPR(3)]: Added this requirement to make sure the applicant's Alaska business license is current.

(1) Is the applicant currently registered under 3 AAC 52.358? Yes No
(If **NO**, applicant ~~needs to~~should file registration ~~RCA form~~ Form RE301³ or RE302⁴ instead of this form.)

(2) If the answer to the previous question was ~~YES~~Yes, please provide the following information:

(a) What was the exact company name used for the last filing?

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a certificate of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for a registered entities ~~entity~~ seeking to ~~reregister~~renew its registration. Alternate operator service (AOS) providers and IXC's not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXC's converting to registration must use RCA Form RE302.

³ RCA Form RE301: Application Form For for Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

⁴ RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers not-Not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

RCA Form RE303

(b) When was the previous application filed? _____

(c) What RCA file or receipt number was assigned to your previous application, if any?

(3) (3 AAC 52.358(b)(3)) Name, title, and telephone number of the individual who is the applicant's liaison with the Commission in regard to the reregistration.

(34) Are you requesting a waiver of any Commission rules with this reregistration application?
___ Yes ___ No

(If the answer to this question is Yes, applicant must file waiver information)

Commented [MJPR(4): The applicant should provide the waiver information if it answered Yes to the question.

(45) (3 AAC 52.358(b)(8)) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? ___ Yes ___ No

(56) Determination of Bond Amount:

(a) Does applicant provide prepaid services? ___ Yes ___ No

(b) (3 AAC 52.358(e)(1)) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA? ___ Yes ___ No
(If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

(c) (3 AAC 52.358(e)(2)) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA? ___ Yes ___ No
(If the answer to this question is NO, applicant must submit a \$1,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

(67) Is applicant currently in compliance with 3 AAC 52.358(e) and (f)? ___ Yes ___ No

Commented [MJPR(5): There could be scenario where the answer to (e) is yes and (f) is no, or vice versa. So Staff separated this into two questions.

(8) Is applicant currently in compliance with 3 AAC 52.358(f)? Yes No

(9) (3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

(710) Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.

(3 AAC 52.358(b)(16)) Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

Revised
before R-15-004
(Clean)

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE
CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS
NOT SUBJECT TO CERTIFICATION¹ (3 AAC 52.358(g)) -- Annual
Reregistration²**

Instructions: In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska, along with:

- \$50 annual registration renewal fee (check or money order only, payable to "Regulatory Commission of Alaska")
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx>)
- request for waiver of Commission rule(s) (if applicable)

(1) Is the applicant currently registered under 3 AAC 52.358? Yes No
(If NO, applicant should file RCA Form RE301³ or RE302⁴ instead of this form.)

(2) If the answer to the previous question was Yes, please provide the following information:

(a) What was the exact company name used for the last filing?

(b) When was the previous application filed? _____

(c) What RCA file or receipt number was assigned to your previous application, if any?

(3) (3 AAC 52.358(b)(3)) Name, title, and telephone number of the individual who is the applicant's liaison with the Commission in regard to the reregistration.

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for a registered entity seeking to renew its registration. Alternate operator service (AOS) providers and IXC's not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXC's converting to registration must use RCA Form RE302.

³ RCA Form RE301: Application Form for Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

⁴ RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers Not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

- (4) Are you requesting a waiver of any Commission rules with this reregistration application?
____ Yes ____ No

(If the answer to this question is Yes, applicant must file waiver information)

- (5) (3 AAC 52.358(b)(8)) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? ____ Yes ____ No

- (6) Determination of Bond Amount:

(a) Does applicant provide prepaid services? ____ Yes ____ No

- (b) (3 AAC 52.358(e)(1)) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA? ____ Yes ____ No

(If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

- (c) (3 AAC 52.358(e)(2)) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA? ____ Yes ____ No

(If the answer to this question is NO, applicant must submit a \$1,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

- (7) Is applicant currently in compliance with 3 AAC 52.358(e)? ____ Yes ____ No

- (8) Is applicant currently in compliance with 3 AAC 52.358(f)? ____ Yes ____ No

- (9) (3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

- (10) Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.

Verification and Agreement (3 AAC 52.358(b)(16))

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official:

Date: _____

**Revised
after R-15-004
(Redline)**

For Staff Use Only

File #: _____
Entity #: _____
Entity Name: _____
Date Filed: _____

Commented [MJPR(1)]: This box is not used by Staff so it should be deleted consistent with revised RE301 and RE302.

**APPLICATION FORM FOR INTRASTATE
INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR
SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION¹ (3 AAC
52.358(g)) -- Annual Reregistration²**

Instructions: In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska, along with:

Commented [MJPR(2)]: Added instructions section to be consistent with Forms RE301 and RE302.

- \$50 annual registration renewal fee (check or money order only, payable to "Regulatory Commission of Alaska")
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx>)
- request for waiver of Commission rule(s) (if applicable)

Commented [MJPR(3)]: Added this requirement to make sure the applicant's Alaska business license is current.

(1) Is the applicant currently registered under 3 AAC 52.358? ☐ Yes ☐ No
(If NO, applicant ~~needs to~~ should file registration ~~RCA form~~ Form RE301³ or RE302⁴ instead of this form.)

(2) If the answer to the previous question was YES, please provide the following information:

(a) What was the exact company name used for the last filing?

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for a registered entity ~~entity~~ seeking to reregister ~~renew its registration~~. Alternate operator service (AOS) providers and IXC's not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXC's converting to registration must use RCA Form RE302.

³ RCA Form RE301: Application Form For Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

⁴ RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers not-Not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

RCA Form RE303

(b) When was the previous application filed? _____

(c) What RCA file or receipt number was assigned to your previous application, if any?

(3) (3 AAC 52.358(b)(3)) Name, title, and telephone number of the individual who is the applicant's liaison with the Commission in regard to the reregistration.

(34) Are you requesting a waiver of any Commission rules with this reregistration application?
___ Yes ___ No

(If the answer to this question is Yes, applicant must file waiver information)

Commented [MJPR(4): The applicant should provide the waiver information if it answered Yes to the question.

(45) (3 AAC 52.358(b)(8)) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? ___ Yes ___ No

(56) Determination of Bond Amount:

(a) Does applicant provide prepaid services? ___ Yes ___ No

(b) (3 AAC 52.358(e)(1)) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA? ___ Yes ___ No
(If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

(c) (3 AAC 52.358(e)(2)) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA? ___ Yes ___ No
(If the answer to this question is NO, applicant must submit a \$1,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

(67) Is applicant currently in compliance with 3 AAC 52.358(e) and (f)? ___ Yes ___ No

(8) Is applicant currently in compliance with 3 AAC 52.358(f)? ___ Yes ___ No

Commented [MJPR(5): There could be scenario where the answer to (e) is yes and (f) is no, or vice versa. So Staff separated this into two questions.

(9) Can the applicant verify that it did not have more than one million intrastate billable minutes per month for three consecutive months in the previous calendar year?
___ Yes ___ No

Commented [MJPR(6): R-15-004 proposes to add this language to 3 AAC 52.358(g), which Staff anticipates that this provision in R-15-004 will be adopted. Here, Staff wants to future proof this form so we do not have to go to a Public Meeting another time just to approve the form again, if R-15-004 is adopted as written.

(10) (3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

(711) Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.

(3 AAC 52.358(b)(16)) Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

**Revised
after R-15-004
(Clean)**

**APPLICATION FORM FOR INTRASTATE INTEREXCHANGE
CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS
NOT SUBJECT TO CERTIFICATION¹ (3 AAC 52.358(g)) -- Annual
Reregistration²**

Instructions: In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska, along with:

- \$50 annual registration renewal fee (check or money order only, payable to "Regulatory Commission of Alaska")
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx>)
- request for waiver of Commission rule(s) (if applicable)

(1) Is the applicant currently registered under 3 AAC 52.358? ____ Yes ____ No
(If NO, applicant should file RCA Form RE301³ or RE302⁴ instead of this form.)

(2) If the answer to the previous question was Yes, please provide the following information:

(a) What was the exact company name used for the last filing?

(b) When was the previous application filed? _____

(c) What RCA file or receipt number was assigned to your previous application, if any?

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for a registered entity seeking to renew its registration. Alternate operator service (AOS) providers and IXC's not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXC's converting to registration must use RCA Form RE302.

³ RCA Form RE301: Application Form for Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

⁴ RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers Not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

(3) (3 AAC 52.358(b)(3)) Name, title, and telephone number of the individual who is the applicant's liaison with the Commission in regard to the reregistration.

(4) Are you requesting a waiver of any Commission rules with this reregistration application?
____ Yes ____ No

(If the answer to this question is Yes, applicant must file waiver information)

(5) (3 AAC 52.358(b)(8)) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? ____ Yes ____ No

(6) Determination of Bond Amount:

(a) Does applicant provide prepaid services? ____ Yes ____ No

(b) (3 AAC 52.358(e)(1)) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA? ____ Yes ____ No

(If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

(c) (3 AAC 52.358(e)(2)) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA? ____ Yes ____ No

(If the answer to this question is NO, applicant must submit a \$1,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)

(7) Is applicant currently in compliance with 3 AAC 52.358(e)? ____ Yes ____ No

(8) Is applicant currently in compliance with 3 AAC 52.358(f)? ____ Yes ____ No

(9) Can the applicant verify that it did not have more than one million intrastate billable minutes per month for three consecutive months in the previous calendar year?
____ Yes ____ No

(10) (3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

(11) Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.

Verification and Agreement (3 AAC 52.358(b)(16))

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

Tariff Content Verification Form

Current Form

Tariff Content Verification Form

For Staff Use Only

File #: _____

Entity Number: _____

Filed Date: _____

Instructions to Applicant or Registered Entity: *This form must be completed and included with an entity's application for registration and also filed with each tariff revision. Be sure to provide the information below, answer all questions, and sign the Verification and Agreement.*

Name of Entity: _____

Address of Entity: _____

<p>Each Tariff Filing and Tariff Revision Filing must comply with the following regulations.</p>	<p>Does registered entity's filing comply? (Applicant/registered entity must mark a response to each regulation below.)</p>
<p>RATES</p>	
<p>(Maximum Rates) 3 AAC 52.358(d): "An entity registering under this section shall have tariffed rates no greater than the maximum rates listed in this subsection..."</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.</p>
<p>(Geographically Averaged Rates) 3 AAC 52.370(a): "The retail rates for message telephone service of each interexchange carrier must be geographically averaged..."</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.</p>
<p>(Statewide service for 1-plus direct dialed service) 3 AAC 52.370(e): "A carrier offering an intrastate interexchange service on a 1-plus direct-dialed basis shall make the service available statewide. In an area where the carrier does not offer 1-plus direct-dialed service, the carrier shall provide the service through a toll-free number or through a calling card with no additional surcharge. If a carrier is unable to provide the service through a toll-free number or calling card, the carrier shall request commission approval of an alternative method of providing service or seek a waiver of this subsection."</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.</p>

CHARGES. 3 AAC 52.367(b): In its online tariff, a registered entity may include the following charges, if the entity fully describes those charges in its online tariff, and if the charge complies with the requirements applicable to that charge:	
(Customer Deposit) 3 AAC 52.367(b)(1): "a customer deposit, if the entity's online tariff sets out objective criteria for determining when a customer deposit will be required; the deposit may not exceed the entity's estimate of two months' billings and may not be retained longer than two years unless the customer is delinquent in payment more than once in any 12 consecutive months; however, an alternate operator service provider may not require a customer deposit;"	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Late payment fees) 3 AAC 52.367(b)(2): "late payment charges for bills not paid 30 days after the later of the billing invoice date or the billing postmark date; the entity may include only the following in a late payment charge: (A) a one-time late payment fee not exceeding one percent of the unpaid amount; (B) a finance charge not exceeding .0287 percent of the unpaid amount per day that the amount remains unpaid;"	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Termination Liability) 3 AAC 52.367(b)(3): "a termination fee for a service with a term commitment, if the (A) termination fee is clearly stated in the term-of-service contract; and (B) amount of the termination fee is reasonably related to the discount the customer received before termination and is not punitive."	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
OTHER	
(Table of Contents and Section for Notices) 3 AAC 52.367(c): "A registered entity's online tariff must include a table of contents and a section for setting out notices of any proposed tariff revisions,..."	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
3 AAC 52.367(c): (continued) "[A registered entity's online tariff] must set out in plain language a statement of the following:"	
(Customer Complaint Information) 3 AAC 52.367(c)(1): "customer complaint information, including: (A) an address and toll-free telephone number for customer complaints; (B) a process for resolving complaints with customers; (C) a statement that any unresolved disputes may be brought to the attention of the commission; and (D) the commission's address and toll-free telephone number;"	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Taxes and Fees) 3 AAC 52.367(c)(2): "a list of each state and municipal tax and fee for service, and a statement that each state and municipal tax and fee will be listed as a separate line item on a customer's bill;"	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Dishonored Checks) 3 AAC 52.367(c)(3): "a statement that any costs sought under AS 09.68.115(a)(2) for a customer's dishonored check may be charge as a separate line item on a customer's bill;"	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Service Locations) 3 AAC 52.367(c)(4): "unless a service is provided statewide, the specific locations where a service is provided;"	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Special Contracts) 3 AAC 52.367(c)(5): "a list of any special contracts for retail services; the list must identify each customer and the retail service specially provided to that customer;"	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.

<p>(Limitation of Liability) 3 AAC 52.367(c)(6): "a statement that any limitation of liability provision in the online tariff is subject to the following: (A) a registered entity may not disclaim liability for its own gross negligence or willful misconduct; (B) inclusion of a limitation of liability provision in a registered entity's online tariff does not prevent a court of competent jurisdiction from (i) determining the validity of the limitation of liability provision, or of any exculpatory clause, under applicable law; or (ii) adjudicating negligence and consequential damage claims."</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.</p>
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Verification and Agreement:

The undersigned verifies that: 1) its tariff complies with the above referenced rules; 2) does not grant an unreasonable preference or advantage to any customer, 3) does not subject a customer to an unreasonable prejudice or disadvantage, and 4) does not use corporate structure to by-pass state regulation and statutory requirements.

This registered entity also agrees that it is responsible for complying with all applicable rules of the Regulatory Commission of Alaska and with the Alaska Statutes. It understands that if it applies or enforces any provision of its tariff that is in conflict with a State statute or regulation, the rule or statute will govern; and the registered entity may be subject to enforcement action by the Regulatory Commission of Alaska.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

**Revised
(Redline)**

Tariff Content Verification Form
(3 AAC 52.358(b)(13))

For Staff Use Only

File #: _____

Entity Number: _____

Filed Date: _____

Instructions to Applicant or Registered Entity: This form must be completed and included with an entity's application for registration and also filed with each tariff revision. Be sure to provide the information below, answer all questions, and sign the Verification and Agreement.

Name of Entity: _____

Address of Entity: _____

Each Tariff Filing and Tariff Revision Filing must comply with the following regulations.	Does registered entity's filing comply? (Applicant/registered entity must mark a response to each regulation below.)
RATES	
(Maximum Rates) 3 AAC 52.358(d): "An entity registering under this section shall have tariffed rates no greater than the maximum rates listed in this subsection..."	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Geographically Averaged Rates) 3 AAC 52.370(a): "The retail rates for message telephone service of each interexchange carrier must be geographically averaged..."	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Statewide service for 1-plus direct dialed service) 3 AAC 52.370(e): "A carrier offering an intrastate interexchange service on a 1-plus direct dialed basis shall make the service available statewide. In an area where the carrier does not offer 1-plus direct dialed service, the carrier shall provide the service through a toll-free number or through a calling card with no additional surcharge. If a carrier is unable to provide the service through a toll-free number or calling card, the carrier shall request commission approval of an alternative method of providing service or seek a waiver of this subsection."	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.

Commented [MJPR(1): Staff removed all quotation marks, as unnecessary.

Commented [MJPR(2): This regulation was repealed in Order R-12-005(4), dated June 5, 2013.

CHARGES. 3 AAC 52.367(b): In its online tariff, a registered entity may include the following charges, if the entity fully describes those charges in its online tariff, and if the charge complies with the requirements applicable to that charge:

(Customer Deposit) **3 AAC 52.367(b)(1):** "a customer deposit, if the entity's online tariff sets out objective criteria for determining when a customer deposit will be required; the deposit may not exceed the entity's estimate of two months' billings and may not be retained longer than two years unless the customer is delinquent in payment more than once in any 12 consecutive months; however, an alternate operator service provider may not require a customer deposit;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

(Late payment fees) **3 AAC 52.367(b)(2):** "late payment charges for bills not paid 30 days after the later of the billing invoice date or the billing postmark date; the entity may include only the following in a late payment charge: (A) a one-time late payment fee not exceeding one percent of the unpaid amount; (B) a finance charge not exceeding .0287 percent of the unpaid amount per day that the amount remains unpaid;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

(Termination Liability) **3 AAC 52.367(b)(3):** "a termination fee for a service with a term commitment, if the (A) termination fee is clearly stated in the term-of-service contract; and (B) amount of the termination fee is reasonably related to the discount the customer received before termination and is not punitive;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

OTHER

(Table of Contents and Section for Notices) **3 AAC 52.367(c):** "A registered entity's online tariff must include a table of contents and a section for setting out notices of any proposed tariff revisions,..."

☐ Yes
☐ No
☐ Have filed separate written waiver.

3 AAC 52.367(c): (continued) "[A registered entity's online tariff] must set out in plain language a statement of the following:"

(Customer Complaint Information) **3 AAC 52.367(c)(1):** "customer complaint information, including: (A) an address and toll-free telephone number for customer complaints; (B) a process for resolving complaints with customers; (C) a statement that any unresolved disputes may be brought to the attention of the commission; and (D) the commission's address and toll-free telephone number;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

(Taxes and Fees) **3 AAC 52.367(c)(2):** "a list of each state and municipal tax and fee for service, and a statement that each state and municipal tax and fee will be listed as a separate line item on a customer's bill;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

(Dishonored Checks) **3 AAC 52.367(c)(3):** "a statement that any costs sought under AS 09.68.115(a)(2) for a customer's dishonored check may be charge as a separate line item on a customer's bill;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

(Service Locations) **3 AAC 52.367(c)(4):** "unless a service is provided statewide, the specific locations where a service is provided;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

(Special Contracts) **3 AAC 52.367(c)(5):** "a list of any special contracts for retail services; the list must identify each customer and the retail service specially provided to that customer;"

☐ Yes
☐ No
☐ Have filed separate written waiver.

Commented [MJPR(3): Staff added emphasis that this is "may" and not "must".

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Commented [MJPR(4): Added a break to distinguish between "may" section and the "must" section.

Commented [MJPR(5): Added emphasis that this must be in the tariff.

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(Limitation of Liability) 3 AAC 52.367(c)(6): "a statement that any limitation of liability provision in the online tariff is subject to the following: (A) a registered entity may not disclaim liability for its own gross negligence or willful misconduct; (B) inclusion of a limitation of liability provision in a registered entity's online tariff does not prevent a court of competent jurisdiction from (i) determining the validity of the limitation of liability provision, or of any exculpatory clause, under applicable law; or (ii) adjudicating negligence and consequential damage claims."

☐ Yes
☐ No
☐ Have filed separate written waiver.

Verification and Agreement:

The undersigned verifies that: 1) its tariff complies with the above referenced rules; 2) does not grant an unreasonable preference or advantage to any customer, 3) does not subject a customer to an unreasonable prejudice or disadvantage, and 4) does not use corporate structure to by-pass state regulation and statutory requirements.

This registered entity also agrees that it is responsible for complying with all applicable rules of the Regulatory Commission of Alaska and with the Alaska Statutes. It understands that if it applies or enforces any provision of its tariff that is in conflict with a State statute or regulation, the rule or statute will govern; and the registered entity may be subject to enforcement action by the Regulatory Commission of Alaska.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____

**Revised
(Clean)**

Tariff Content Verification Form
(3 AAC 52.358(b)(13))

Instructions to Applicant or Registered Entity: *This form must be completed and included with an entity's application for registration and also filed with each tariff revision. Be sure to provide the information below, answer all questions, and sign the Verification and Agreement.*

Name of Entity: _____

Address of Entity: _____

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(Geographically Averaged Rates) 3 AAC 52.370(a): The retail rates for message telephone service of each interexchange carrier must be geographically averaged...	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
CHARGES. 3 AAC 52.367(b): In its online tariff, a registered entity <u>may</u> include the following charges, if the entity fully describes those charges in its online tariff, and if the charge complies with the requirements applicable to that charge:	
(Customer Deposit) 3 AAC 52.367(b)(1): a customer deposit, if the entity's online tariff sets out objective criteria for determining when a customer deposit will be required; the deposit may not exceed the entity's estimate of two months' billings and may not be retained longer than two years unless the customer is delinquent in payment more than once in any 12 consecutive months; however, an alternate operator service provider may not require a customer deposit;	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Late payment fees) 3 AAC 52.367(b)(2): late payment charges for bills not paid 30 days after the later of the billing invoice date or the billing postmark date; the entity may include only the following in a late payment charge: (A) a one-time late payment fee not exceeding one percent of the unpaid amount; (B) a finance charge not exceeding .0287 percent of the unpaid amount per day that the amount remains unpaid;	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.

(Termination Liability) 3 AAC 52.367(b)(3) : a termination fee for a service with a term commitment, if the (A) termination fee is clearly stated in the term-of-service contract; and (B) amount of the termination fee is reasonably related to the discount the customer received before termination and is not punitive.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
OTHER	
(Table of Contents and Section for Notices) 3 AAC 52.367(c) : A registered entity's online tariff must include a table of contents and a section for setting out notices of any proposed tariff revisions,...	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
3 AAC 52.367(c) : (continued) [A registered entity's online tariff] <u>must</u> set out in plain language a statement of the following:	
(Customer Complaint Information) 3 AAC 52.367(c)(1) : customer complaint information, including: (A) an address and toll-free telephone number for customer complaints; (B) a process for resolving complaints with customers; (C) a statement that any unresolved disputes may be brought to the attention of the commission; and (D) the commission's address and toll-free telephone number;	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
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(Dishonored Checks) 3 AAC 52.367(c)(3) : a statement that any costs sought under AS 09.68.115(a)(2) for a customer's dishonored check may be charge as a separate line item on a customer's bill;	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Service Locations) 3 AAC 52.367(c)(4) : unless a service is provided statewide, the specific locations where a service is provided;	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Special Contracts) 3 AAC 52.367(c)(5) : a list of any special contracts for retail services; the list must identify each customer and the retail service specially provided to that customer;	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.
(Limitation of Liability) 3 AAC 52.367(c)(6) : a statement that any limitation of liability provision in the online tariff is subject to the following: (A) a registered entity may not disclaim liability for its own gross negligence or willful misconduct; (B) inclusion of a limitation of liability provision in a registered entity's online tariff does not prevent a court of competent jurisdiction from (i) determining the validity of the limitation of liability provision, or of any exculpatory clause, under applicable law; or (ii) adjudicating negligence and consequential damage claims.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Have filed separate written waiver.

Verification and Agreement:

The undersigned verifies that: 1) its tariff complies with the above referenced rules; 2) does not grant an unreasonable preference or advantage to any customer, 3) does not subject a customer to an unreasonable prejudice or disadvantage, and 4) does not use corporate structure to by-pass state regulation and statutory requirements.

This registered entity also agrees that it is responsible for complying with all applicable rules of the Regulatory Commission of Alaska and with the Alaska Statutes. It understands that if it applies or enforces any provision of its tariff that is in conflict with a State statute or regulation, the rule or statute will govern; and the registered entity may be subject to enforcement action by the Regulatory Commission of Alaska.

Printed Name and Title of Authorized Company Official: _____

Signature of Authorized Company Official: _____

Date: _____