#### MEMORANDUM

To: Commissioners:

Robert M. Pickett, Chair Stephen McAlpine Rebecca L. Pauli Norman Rokeberg Janis W. Wilson

Date: April 12, 2017

From: Jess Manaois, Common Carrier Specialist

Subject: Revisions to the IXC registration application and Tariff Content Verification forms

#### STATEMENT OF THE CASE

Unless required under 3 AAC 52.360(a) to obtain a Certificate of Public Convenience and Necessity an entity proposing to provide intrastate interexchange telephone service or alternate operator service is required to register under 3 AAC 52.358. Once "registered," the registered (IXC) is required to annually renew its registration on a form prescribed by the Commission. Whenever a registered IXC first files its tariff or proposes tariff revisions it is required to include in their tariff filing a completed Tariff Content Verification Form. The initial application form, the application for renewal, and the Tariff Content Verification Form prescribed by the Commission were approved at a public meeting. Because of recent changes to regulations, Staff brings forward proposed revisions to the registration application and renewal forms, and the Tariff Content Verification Form.

#### STAFF RECOMMENDATIONS

Commission Staff (Staff) recommends the Commission adopt the proposed revisions to:

- a. Registration application forms RCA Form RE301 and RCA Form RE302;
- b. Renewal of registration application form RCA Form RE303; and
- c. Tariff Content Verification Form.

#### PROCEDURAL BACKGROUND

In Docket R-00-004, the Commission adopted regulations governing the criteria for intrastate IXC telephone competition, and made changes to the IXC application procedures including the detariffing of prepaid calling cards services, simplifying tariff rules, and introducing the registration of IXCs.<sup>2</sup> One of the provisions was to exempt certain smaller types of IXCs,

<sup>&</sup>lt;sup>1</sup>See Order R-00-004(6), Order Adopting Regulations, as Amended, dated April 14, 2003.

<sup>&</sup>lt;sup>2</sup>See Order R-00-004(2), Order Issuing Proposed Regulations for Comment and Establishing

typically resellers, from the certification requirements of AS 42.05.221, and allow them to "register" with the Commission.<sup>3</sup>

Shortly after the regulations were adopted, at the May 7, 2003 public meeting,<sup>4</sup> the Commission approved several forms, including the registration and renewal of registration application forms and the Tariff Content Verification Form, which requires the IXC to indicate whether the proposed tariff filing complies with certain regulations, including 3 AAC 52.370(e).

In 2013, in Docket R-12-005, the Commission, repealed 3 AAC 52.370(e) thus, eliminating the requirement that IXCs offering 1-plus direct dialing make the service statewide.<sup>5</sup> The repeal of 3 AAC 52.370(e) made the current Tariff Content Verification from obsolete. Further in 2016, the Commission amended the electronic filing regulations (3 AAC 48.095) allowing permissive electronic submission of tariff revisions and other filings, including registration applications.<sup>6</sup>

#### DISCUSSION

As the current version of the registration forms was adopted at a public meeting, the Commission at a recent adjudication, directed Staff to propose its recommended changes to the forms necessitated by Dockets R-12-005 and R-15-002 at a future public meeting. Staff was also advised that any changes made to the forms should be consistent with current regulations.

Attached are proposed revisions to the IXC registration applications, RCA Form RE301 and RCA Form RE302; the registration renewal application, RCA Form RE303; and the Tariff Content Verification Form. Staff has provided two versions of the forms. The first, a redline version (with comments), and then a clean version of the proposed changes incorporated into the forms.

Hearing and Filing Schedule, dated April 15, 2002 ("Order R-00-004(2)") at 2. The Commission stated that the regulations would improve the application procedures for small IXCs and would promote efficient processing and competitive entry into the interexchange market while continuing to protect public interest.

<sup>&</sup>lt;sup>3</sup>Order R-00-004(2) at 3-4. Registration applies to all IXCs except: facilities-based IXCs or an affiliate of such; IXCs or alternate operator service providers over a certain threshold of billable minutes; incumbent LECs or an affiliate of such; or inmate calling service providers (3 AAC 52.360(a)).

<sup>&</sup>lt;sup>4</sup>May 7, 2003 Tr. at 28 – 35.

<sup>&</sup>lt;sup>5</sup>See Order R-12-005(5), Order Readopting Regulations, dated August 26, 2013.

<sup>&</sup>lt;sup>6</sup>See Order R-15-002(3), Order Adopting Regulations, dated May 23, 2016.

IXCs that are not eligible for certification must register with the Commission under 3 AAC 52.358(b) by completing the registration application RCA Form RE301. The proposed revisions include:

- added a reference to the electronic filing regulation;
- cited regulations;
- eliminated the requirement to file a copy of the applicant's proposed tariff in a disk;
- updated the link to the State of Alaska Business Licensing webpage;
- removed redundant requirements; and
- added a revision number and the adoption date of the revised form

#### RCA Form RE302

Previously certificated IXCs that are no longer eligible for certification are required to transition to a registered IXC under 3 AAC 52.358(c) by completing the registration application RCA Form RE302. (Staff notes that this form is very rarely used). The proposed revisions include:

- added a reference to the electronic filing regulation;
- cited regulations:
- removed redundant requirements and requirements not required in regulations; and
- added a revision number and the adoption date of the revised form.

#### RCA Form RE303

Registered IXCs are required to renew their registration by January 31 of each year by filing a completed RCA Form RE303. The proposed changes include:

- added an Instructions Section to be consistent with RCA Form RE301 and RCA Form RE302;
- added a reference to the electronic filing regulation;
- cited regulations;
- added a requirement to provide proof of authority to do business in Alaska;
- added a link to the State of Alaska Business Licensing webpage;
- added a requirement to provide a contact person regarding the application; and
- added a revision number and the date the revised forms were adopted.

#### Docket R-15-004

Staff notes that the most current proposal for Docket R-15-004, a docket opened to look at the Commission's reporting requirements, recommends a revision to 3 AAC 52.358(g), adding an additional filing requirement to the IXC registration renewal process. For illustration purposes, Staff has included an attachment to a possible future version of RCA Form RE303 if the revision to 3 AAC 52.358(g) is adopted as currently drafted. Staff is not

asking the Commission to approve this version of the form at this time, as Staff believes it will need to present the further revision for approval at a future public meeting.

#### **Tariff Content Verification Form**

In addition to filing RCA Form RE301 or RCA Form RE302, an IXC eligible for registration must also file a Tariff Content Verification Form, indicating that the proposed tariff filing complies with certain regulations, including 3 AAC 52.370(e). Further, a currently registered IXC that proposes a tariff revision is also required to submit a Tariff Content Verification Form. The proposed revisions to the Tariff Content Verification Form includes

- deleted reference to 3 AAC 52.370(e) to reflect the regulation being repealed in Docket R-12-005;
- cited the regulations requiring the form;
- added emphasis to which of the requirements must be or may be filed; and
- added a revision number and the date the revised form was adopted.

#### RECOMMENDATION

Staff recommends that the Commission adopt the proposed revisions to RCA Form RE301, RCA Form RE302, RCA Form RE303, and the Tariff Content Verification Form as presented at the April 12, 2017 Public Meeting.

# **Current Form**

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For Staff Use Only File #: Entity #:	
Entity Name: Date Filed:	
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# APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION; -- REGISTRATION (For applicants not currently certificated to provide intrastate interexchange telecommunications)<sup>2</sup>

<u>Instructions:</u> Please complete sections 1-3 below. Submit a signed original and two copies of this application to the Regulatory Commission of Alaska,<sup>3</sup> along with an original and two copies (except as noted otherwise) of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska")
- \$5,000 bond if applicant will require prepayment of any service
- \$1,000 bond if applicant will not require prepayment of any service
- paper version of the applicant's initial online tariff in accordance with 3 AAC 52.367
- diskette containing html version of the applicant's initial online tariff in accordance with 3 AAC 52.367 (one diskette only)
- a signed tariff content verification form
- proof of authority to do business in Alaska (see <a href="http://www.dced.state.ak.us/occ/buslic.htm">http://www.dced.state.ak.us/occ/buslic.htm</a>)
- request for waiver of Commission rule(s) (if needed).

Section 1:	Preliminary Questions for Applicant:
	Has this applicant previously attempted to register under 3 AAC 52.358?
	Yes No
(ii)	If the answer to the previous question was yes, please provide the following
	information:
	a. What was the exact company name used for the last filing?
	b. When was the previous application filed?

<sup>&</sup>lt;sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a <u>certificate</u> of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

<sup>&</sup>lt;sup>2</sup> This form is for alternate operator service (AOS) providers and IXCs not currently certificated. Certificated IXCs converting to registration must use RCA Form RE302. Registered entities seeking to reregister must file RCA Form RE303.

<sup>&</sup>lt;sup>3</sup> 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

eipt number was assigned to your previous application, if
ng a waiver of any Commission rules?
involved in any administrative and judicial proceedings in ction that resulted in suspension, revocation, or denial of recrtification of the applicant or its officers, directors, or ity services; a reprimand, penalty, or conviction of the s, directors, or affiliates related to operations, gross udulent transactions, or securities violations; or an cy or a reorganization in bankruptcy of the applicant or its liates? Yes
fficers, directors, or affiliates ever abandoned service in atutes, regulations, or orders?
Amount:  viding prepaid services? Yes No  roviding prepaid services, has it provided a \$5,000 bond?  be providing prepaid services, has it provided a \$1,000
llowing items. Please print or type.
me and the name under which the applicant proposes to
ne applicant's principal national and Alaskan place of
elephone number of the individual who is the applicant's d to the registration?

(4) \of ind	What is the applicant's business structure (corporation, partnership, etc.; including proof corporation and name and address of registered agent, if applicable)?
<i>(</i> 5) F	Please provide proof of the applicant's authority to do business in Alaska.
(6) F	Please provide a list of the owners of five percent or more of the applicant's equity.
(7) F	Please provide a list of the applicant's affiliated interests, as defined in AS 42.05.990(1).
(8) <b>F</b>	Please provide a list of all administrative and judicial proceedings that resulted in:  (A) suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services;
	(B) a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or
	(C) an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates;
direc	Please provide a list of all cases and locations in which the applicant, or its officers, tors, or affiliates, have abandoned service in violation of applicable statutes, ations, or orders.

(10) Please provide a list of the names, titles, and employed or to be employed by the applicant, and	I responsibilities of key management now resumes for each person.
(11) Please provide a list of all services proposed applicant's technical ability to provide the proposed	
(12) Please provide a completed tariff content veri	fication form.
(13) What is the Internet address of the applicant's	on-line tariff?
(14) Please indicate whether resold services will be(A) another carrier's intrastate wholesal(B) another carrier's intrastate retail tari(C) an interstate contract or tariff; or(D) some other mechanism.  If (D), please explain:	le tariff; ff;
Section 3:  Verification and Agreement The applicant verifies that all information and responsive, complete, truthful, and accurate; and registration under 3 AAC 52.358. The applicant up this application is found by the Commission to be in and the registration fee will be forfeited.	that the applicant is qualified to file for nderstands and agrees that if any part of
Printed Name and Title of Authorized Company Official:	
Signature of Authorized Company Official:	Date:

# Revised (Redline)

RCA Form RF:	201

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For Staff Use Only			
File#:			
Entity-#:			
Entity-Name:	 	:	
Date-Filed:	 		

Commented [MJPR(1]: The For Staff Use Only is not used. Staff recommends deleting the box but leave space for the received date stamp.

### APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION;<sup>1</sup> -- REGISTRATION UNDER 3 AAC 52.358 (For applicants not currently certificated to provide intrastate interexchange telecommunications)<sup>2</sup>

<u>Instructions:</u> Please complete sections 1 – 3 below. <u>In accordance with 3 AAC 48.095</u>, file a <u>complete Submit a signed original and two copies of this application electronically or on paper to the Regulatory Commission of Alaska,<sup>3</sup> along with an original and two copies (except as noted otherwise) of:</u>

Commented [MJPR(2]: Need to address electronic filing set out in 3 AAC 48.095, as this was added to the regulations recently.

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3.AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- paper-version of a copy of the applicant's initial online tariff in accordance with 3 AAC 52.367 (3 AAC 52.358(b)(12))
- diskette containing html-version of the applicant's initial online tariff in accordance with 3 AAC-52.367-(one-diskette-only)
- a signed tariff content verification form (3 AAC 52,358(b)(13))
- proof of incorporation, if applicable (3 AAC 52.358(b)(4))
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspxhttp://www.deed.state.ak.us/oce/buslic.htm)
- · request for waiver of Commission rule(s) (if needed).

Commented [MJPR(3]: Added cite to the regulations

Commented [MJPR(4]: Applicants can now file an application and proposed tariff electronically so requiring a paper copy may not apply all the time.

Commented [MJPR(5]: 3 AAC 52.358 does not require filing of a disk.

**Commented [MJPR(6]:** Updated link to the Alaska Business Licensing webpage.

- ¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service;— an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a <u>certificate</u> of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).
- <sup>2</sup> This form is for alternate operator service (AOS) providers and IXCs not currently certificated. <u>Current</u> Certificated <u>IXCs</u> converting to registration <u>under 3 AAC 52.358(c)</u> must use RCA Form RE302. <u>A currently</u> Registered entities entity seeking to reregister renew its registration under 3 AAC 52.358(g) must file RCA Form RE303.
  - <sup>3</sup> 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

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Sect (i) I	tion 1: Preliminary Questions for Applicant:  Has this applicant previously attempted to register under 3 AAC 52.358?  Yes No
(ii)	f the answer to the previous question was yes, please provide the following information:  a. What was the exact company name used for the last filing?  b. When was the previous application filed?  c. What RCA file or receipt number was assigned to your previous application, if any?
(iii) I	s the applicant requesting a waiver of any Commission rules?
† ; ; ; ; ;	YesNoi-las-the-applicant been involved in any administrative and judicial proceedings in a state or indered judicial proceedings in a state or oderal judicial proceedings in a state or overtification of the applicant or its officers, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates? YesNo
(iv) I	PoseNo Determination of Bond Amount:  a. Will applicant be providing prepaid services? Yes No b. If applicant will be providing prepaid services, has it provided a \$5,000 bond? Yes No c. If applicant will not be providing prepaid services, has it provided a \$1,000 bond? Yes No
Sec	tion 2:
(3 A	applicant must complete the following items. Please print or type. <u>AC 52.358(b)(1))(-1)</u> What is the applicant's legal name and the name under which the applicant oses to do business?
(3 A plac	AC 52.358(b)(2)) (2)—What is are the addresses of the applicant's principal national and Alaskan e of business?
<u>(3 A</u> the a	AC $52.358(b)(3)(-3)$ What are is the name, title, and telephone number of the individual who is applicant's liaison with the commission in regard to the registration?
Reg Pag	lication Form RE301 Istration for AOS Providers and New IXCs e 2 of 4 4/12/2017

Commented [MJPR(7]: Staff recommends deleting these questions here because they are asked in Section 2 below.

(3 AAC 52.358(b)(4)) (4)—What is the applicant's type of business structure (corporation, partnership, LLC, etc.; including preof of incorporation and name and address of registered agent, if applicable)? If incorporated provide name and address of registered agent. –

Commented [MJPR(8]: Proof of incorporation asked for in instructions.

Commented [MJPR(9]: Already asked for in instructions

 (3 AAC equity	52.358(b)(6)) (6)—Please provide a list of the owners of five percent or more of the applican	
	<del></del>	nťs
	52.358(b)(7)) (7)—Please provide a list of the applicant's affiliated interests, as defined in 190(1)	AS
1	ease provide a list of all administrative and judicial proceedings that resulted in:  (A) (3 AAC 52.358(b)(8)(A)) suspension, revocation, or denial of the authority, license, certification of the applicant or its officers, directors, or affiliates to provide utility services;	or
	(B) (3 AAC 52.358(b)(8)(B)) a reprimand, penalty, or conviction of the applicant or its office directors, or affiliates related to operations, gross misrepresentations, fraudulent transactio or securities violations; or	ers, ns,
1	(C) (3 AAC 52.358(b)(8)(C)) an adjudication of bankruptcy or a reorganization in bankrup of the applicant or its officers, directors, or affiliates;	tcy

Application Form RE301 Registration for AOS Providers and New IXCs

Page 3 of 4 v2 04/12/2017

(3 AAC 52.358(b)(10) (10)—Please provide management now employed or to be employed	a list of the names, titles, and responsibilities of key d by the applicant, and resumes for each person.	
(3 AAC 52.358(b)(11)) (11)—Please provide explanation of the applicant's technical ability	de a list of all services proposed, together with an to provide the proposed services?	
(12) Please provide a completed tariff center	it-verification form	Commented [MJPR(10]: Already asked for in instructions.
(A) another carrier's intrastate wh(B) another carrier's intrastate ret(C) an interstate contract or tariff;(D) some other mechanism.  If-(D), pPlease explain the selection above.	whether resold services will be obtained from: olesale tariff; all tariff; or	require the applicant to provide a narrative response fo
Section 3: (3 AAC 52.358(b)(16)) Verification and Agreer The applicant verifies that all information a complete, truthful, and accurate; and that the 52.358. The applicant understands and agre Commission to be incomplete, the application	nent and responses provided above are fully responsive, applicant is qualified to file for registration under 3 AAC less that if any part of this application is found by the will be rejected and the registration fee will be forfeited.	each selection.
Signature of Authorized Company Official:		
	Date:	
Application Form RE301 Registration for AOS Providers and New IXCs Page 4 of 4 v2 04/12/2017		

# Revised (Clean)

# APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION; 1 -- REGISTRATION UNDER 3 AAC 52.358 (For applicants not currently certificated to provide intrastate interexchange telecommunications)<sup>2</sup>

<u>Instructions:</u> Please complete sections 1-3 below. In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska,<sup>3</sup> along with an original and two copies (except as noted otherwise) of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3 AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- a copy of the applicant's initial online tariff in accordance with 3 AAC 52.367 (3 AAC 52.358(b)(12))
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- proof of incorporation, if applicable (3 AAC 52.358(b)(4))
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <a href="https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx">https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx</a>)
- request for waiver of Commission rule(s) (if needed)

Section	1: Preliminary Questions for Applicant:
(i) Has	this applicant previously attempted to register under 3 AAC 52.358? es No
ļ	es 14U
(ii) If th	e answer to the previous question was yes, please provide the following information:
	What was the exact company name used for the last filing?      When was the previous application filed?
	c. What RCA file or receipt number was assigned to your previous application, if any?
• •	ne applicant requesting a waiver of any Commission rules? YesNo
(iv) Det	ermination of Bond Amount:
	a. Will applicant be providing prepaid services? Yes No
	b. If applicant will be providing prepaid services, has it provided a \$5,000 bond? Yes No
	1 An entity including a registered intereveloppe carrier that proposes to provide facilities based

Application Form RE301 Registration for AOS Providers and New IXCs Page 1 of 4 v2 04/12/2017

An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

<sup>&</sup>lt;sup>2</sup> This form is for alternate operator service (AOS) providers and IXCs not currently certificated. Current certificated IXCs converting to registration under 3 AAC 52,358(c) must use RCA Form RE302. A currently registered entity seeking to renew its registration under 3 AAC 52.358(g) must file RCA Form RE303.

<sup>&</sup>lt;sup>3</sup> 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

<ul><li>c. If applicant will not be providing prepaid services, has it provided a \$1,000 bond?</li><li>Yes No</li></ul>
Section 2: The applicant must complete the following items. Please print or type.
(3 AAC 52.358(b)(1)) What is the applicant's legal name and the name under which the applicant proposes to do business?
(3 AAC 52.358(b)(2)) What are the addresses of the applicant's principal national and Alaskan place of business?
(3 AAC 52.358(b)(3)) What is the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?
(3 AAC 52.358(b)(4)) What is the applicant's type of business structure (corporation, partnership, LLC, etc.)? If incorporated provide name and address of registered agent.
(3 AAC 52.358(b)(6)) Please provide a list of the owners of five percent or more of the applicant's equity.
(3 AAC 52.358(b)(7)) Please provide a list of the applicant's affiliated interests, as defined in AS 42.05.990(1).
Please provide a list of all administrative and judicial proceedings that resulted in:
(A) (3 AAC 52.358(b)(8)(A)) suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services;
(B) (3 AAC 52.358(b)(8)(B)) a reprimand, penalty, or conviction of the applicant or its officers,

Application Form RE301 Registration for AOS Providers and New IXCs Page 2 of 4 v2 04/12/2017

<b></b>	
	(C) an interstate contract or tariff; or(D) some other mechanism. xplain the selection above:
	52.358(b)(15)) Please indicate whether resold services will be obtained from: (A) another carrier's intrastate wholesale tariff; (B) another carrier's intrastate retail tariff; (C) an interstate contract or tariff;
(3 <b>AA</b> C 5	52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?
(3 AAC 5 the applic	52.358(b)(11)) Please provide a list of all services proposed, together with an explanation of cant's technical ability to provide the proposed services?
(3 AAC managen	52.358(b)(10)) Please provide a list of the names, titles, and responsibilities of key ment now employed or to be employed by the applicant, and resumes for each person.
(3 AAC 5 officers, c or orders	52.358(b)(9)) Please provide a list of all cases and locations in which the applicant, or its directors, or affiliates, have abandoned service in violation of applicable statutes, regulations, s.
	C) (3 AAC 52.358(b)(8)(C)) an adjudication of bankruptcy or a reorganization in bankruptcy f the applicant or its officers, directors, or affiliates;
	rectors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, r securities violations; or

#### Section 3:

(3 AAC 52.358(b)(16)) Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to file for registration under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official:						
Signature of Authorized Company Official:						
	Date:					

## **Current Form**

For Staff Use Only File #: Entity #: Entity Name:
Date Filed:

# APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION -- REGISTRATION (for current holders of intrastate interexchange telecommunications certificates)<sup>2</sup>

<u>Instructions:</u> Please complete Sections 1 – 3 below. Submit a signed original and two copies of this application to the Regulatory Commission of Alaska,<sup>3</sup> along with an original and two copies of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska")
- \$5,000 bond if applicant will require prepayment of any service
- \$1,000 bond if applicant will not require prepayment of any service
- paper version of the applicant's initial online tariff in accordance with 3 AAC 52.367
- diskette containing html version of the applicant's initial online tariff in accordance with 3 AAC 52.367 (one diskette only)
- a signed tariff content verification form
- proof of authority to do business in Alaska (see <a href="http://www.dced.state.ak.us/occ/buslic.htm">http://www.dced.state.ak.us/occ/buslic.htm</a>)
- request for waiver of Commission rule(s) (if needed)
- certificate parchment (original only) or request and justification for waiver of that portion of 3 AAC 52.358(c).

#### Section 1: Preliminary Questions for Applicant:

(i)	Has	this	applicant	previously	attempted	to	register	under	3	AAC	52.358?
	Yes_		No								

(ii) If the answer to the previous question was YES, please provide the following information:

<sup>&</sup>lt;sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a <u>certificate</u> of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

<sup>&</sup>lt;sup>2</sup> This form is for certificated IXCs converting to registration. Alternate operator service (AOS) providers and IXCs not currently certificated must use RCA Form RE301. Registered entities seeking to reregister must file RCA Form RE303.

<sup>&</sup>lt;sup>3</sup> 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

	(a) What	was	the	exact	company	name	used	for	the	last	filing?
					plication file umber was		l to you	ır prev	/ious	applic	ation, if
(iii)	Is the app		eques	ting a w	aiver of any	Commis	ssion rเ	ules?			
(iv)	a state or the autho affiliates tapplicant misrepres adjudication	pplican federal rity, lice to prov or its entation on of ba	l jurisc ense, ide u office ns, fi ankruj	diction the or certiful tility ser cers, direction of the certical dir	ed in any action at resulted fication of the vices; a resectors, or any areorganizary Yes	in: suspone applice applice primand, affiliates ons, or tion in ba	ension, ant or penal related secur	, revo its off ty, or d to ities	cation icers, conv opera violat	n, or dand direction distions, dions;	enial of tors, or of the gross or an
(v)	Has the a	ıpplican	nt, its	officers,	, directors, , regulations	or affiliat		r aba	indon	ed sei	vice in
(vi)	(b) If appl Yes_ (c) If appl	ation of oplicant icant w No	be prill be	oviding providina t be pro	: prepaid ser g prepaid se oviding prep	ervices, I	nas it p	rovide			
Section 2: The applic		omplete	e the 1	following	g items. Ple	ase print	t or type	e.			
(1) What is the applicant's legal name and the name under which the applicant proposes to do business?											
(2) What business?	t is the ac	ddresse	es of	the app	olicant's pri	ncipal n	ational	and	Alasł	kan pi	ace of
(3) What liaison with	are the na n the comm	me, title nission i	e, and in reg	telepho ard to th	one number ne registratio	of the ir	ndividua	al who	o is th	е арр	licant's

RCA Form RE 302
(4) Please provide a completed tariff content verification form.
(5) What is the Internet address of the applicant's on-line tariff?
(6) Please explain whether resold services will be obtained from: (A) another carrier's intrastate wholesale tariff; (B) another carrier's intrastate retail tariff; (C) an interstate contract or tariff; or (D) some other mechanism.  If (D), please explain:
Section 3:  Verification and Agreement  The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official:

Date:

Signature of Authorized Company Official:

# Revised (Redline)

For Staff Use-Only
File#:
Entity #:
Entity-Name:
Date Filed:

Commented [MJPR(1]: The For Staff Use Only is not used. Staff recommends deleting the box but leave space for the received date stamp.

### APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION -- REGISTRATION UNDER 52.358(c) (for current holders of intrastate interexchange telecommunications certificates)<sup>2</sup>

<u>Instructions:</u> Please complete Sections 1 – 3 below. Submit a signed original and two copies of this In accordance with 3 AAC 48.095, file a complete application to the Regulatory Commission of Alaska, 3 along with an original and two copies of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3 AAC 52,358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- paper version of the applicant's initial online tariff in accordance with 3 AAC 52.367
- Idiskettel containing html version of the applicant's initial online-tariff in accordance with 3 AAC 52.367 (one-diskette only)
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- proof of authority to do business in Alaska (see http://www.dced.state.ak.us/ecc/buslic.htm)
- certificate parchment (original only) or request and justification for waiver of that portion
  of 3 AAC 52 358(c)
- request for waiver of Commission rule(s) (if neededapplicable)
- certificate-parchment (original only) or request and justification for waiver of that portion of 3 AAC 52:358(e).

Commented [MJPR(2]: Need to address electronic filing set out in 3 AAC 48.095, as this was added to the regulations recently.

Commented [MJPR(3]: 3 AAC 52.358 does not require filing of a disk.

Commented [MJPR(4]: Delete because this information is not required in 3 AAC 52.358(c).

Commented [MJPR(5]: Switched these bullet points

#### Section 1: Preliminary Questions for Applicant:

(i) Has this applicant previously attempted to register under 3 AAC 52,358?

Application Form RE302
For Applicants Converting from Certification to Registration
Page 1 of 3
v2 04/12/2017

<sup>&</sup>lt;sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a <u>certificate</u> of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

<sup>&</sup>lt;sup>2</sup> This form is for <u>currently</u> certificated IXCs converting to registration. Alternate operator service (AOS) providers and IXCs not currently certificated must use RCA Form RE301. <u>Currently Registered registered</u> entities seeking to <u>reregister renew its registration under 3 AAC 52.358(g)</u> must file RCA Form RE303.

<sup>&</sup>lt;sup>3</sup> 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

RCA Form						
(ii)	YesNo If the answer to the previous question was YES, please provide the following information:					
	(a) What was the exact company name used for the last filling?					
	(b) When was the previous application filed?					
	(c) What RCA file or receipt number was assigned to your previous application, if any?					
(iii)	Is the applicant requesting a waiver of any Commission rules? Yes No					
<del>(iv)</del>	Has the applicant been involved in any administrative and judicial proceedings in a					
	state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates					
	to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations,					
	fraudulent transactions, or securities violations; or an adjudication of bankruptcy or					
	a-reorganization in bankruptcy-of-the-applicant or its-officers, directors, or affiliates? YesNo					
<del>(v)</del>	Has the applicant, its-officers, directors, or affiliates ever abandoned service in violation of applicable statutes, reculations or orders?					
6.4575.45	YesNo					
<del>(vi)</del> (iv)	Determination of bond amount:  Will applicant be providing prepaid services? Yes No					
	If yes, provide a \$5,000 bond. If no, provide a \$1,000 bond.  (a) If applicant will be providing propaid services, has it provided a \$5,000 bond?					
	YesNo					
	If applicant will not be providing prepaid services, has it provided a \$1,000 bond? YesNo					
Section 2:	ant must complete the following items. Please print or type.					
	358(b)(1)) What is the applicant's legal name and the name under which the applicant of business?					
proposes to do business?						
(3 AAC 52.3	358(b)(2)) What is-are the addresses of the applicant's principal national and Alaskan					
place of bu	5116557_					
(3 AAC 52	358(b)(3)) What <del>are is t</del> he name, title, and telephone number of the individual who is					
	nt's liaison with the commission in regard to the registration?					
Application	Form RE302					
1 1	ants Converting from Certification to Registration					
v2 04/12/20						

(4) Please provide a completed tariff content verif	cation form.	Commented [MJPR(8]: This is already asked in the instructions.
(3 AAC 52.358(b)(14)) What is the Internet addres	s of the applicant's on-line tariff?_	
(6) Please explain whether resold services will be  (A) another earrier's intrastate wholess  (B) another carrier's intrastate retail tai  (C) an interstate contract or tariff; or  (D) some other mechanism.  If (D), please explain:	ile-tariff;-	Commented [MJPR(9]: This is not required in 3 AAC 52.358(c).
	ponses provided above are fully responsive, plicant is qualified to reregister under 3 AAC at if any part of this application is found by the	
Printed Name and Title of Authorized Company Official:		
Signature of Authorized Company Official:		
	Date:	

Application Form RE302 For Applicants Converting from Certification to Registration Page 3 of 3 v2 04/12/2017

# Revised (Clean)

# APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION -- REGISTRATION UNDER 52.358(c) (for current holders of intrastate interexchange telecommunications certificates)<sup>2</sup>

<u>Instructions:</u> Please complete Sections 1-3 below. In accordance with 3 AAC 48.095, file a complete application to the Regulatory Commission of Alaska, along with:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3 AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- the applicant's initial online tariff in accordance with 3 AAC 52.367
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- certificate parchment (original only) or request and justification for waiver of that portion of 3 AAC 52.358(c)
- request for waiver of Commission rule(s) (if applicable)

#### Section 1: Preliminary Questions for Applicant:

(i) (ii)	Has this applicant previously attempted to register under 3 AAC 52.358?  Yes No  If the answer to the previous question was YES, please provide the follow information:					
	(a) What was the exact company name used for the last filing?					
	<ul> <li>(b) When was the previous application filed?</li> <li>(c) What RCA file or receipt number was assigned to your previous application, if any?</li> </ul>					
(iii)	Is the applicant requesting a waiver of any Commission rules? Yes No					

Application Form RE302
For Applicants Converting from Certification to Registration
Page 1 of 2
v2 04/12/2017

<sup>&</sup>lt;sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a <u>certificate</u> of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

<sup>&</sup>lt;sup>2</sup> This form is for currently certificated IXCs converting to registration. Alternate operator service (AOS) providers and IXCs not currently certificated must use RCA Form RE301. Currently registered entities seeking to renew its registration under 3 AAC 52.358(g) must file RCA Form RE303.

<sup>&</sup>lt;sup>3</sup> 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

(iv)	Determination of bond amount: Will applicant be providing prepaid : If yes, provide a \$5,000 bond. If no	services? Yes No , provide a \$1,000 bond.
Section 2: The applica	ant must complete the following items.	Please print or type.
(3 AAC 52. proposes to	358(b)(1)) What is the applicant's lega o do business?	I name and the name under which the applicant
(3 AAC 52 place of bu	.358(b)(2)) What are the addresses o siness?	f the applicant's principal national and Alaskan
(3 AAC 52. applicant's	.358(b)(3)) What is the name, title, and liaison with the commission in regard	d telephone number of the individual who is the to the registration?
(3 AAC 52.	358(b)(14)) What is the Internet addre	ess of the applicant's on-line tariff?
The applica complete, t 52.358. The	truthful, and accurate; and that the a e applicant understands and agrees th	nt esponses provided above are fully responsive, pplicant is qualified to reregister under 3 AAC nat if any part of this application is found by the vill be rejected and the registration fee will be
Printed Nam	e and Title of Authorized Company Officia	al:
Signature of	Authorized Company Official:	
		Date:

## **Current Form**

### APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION<sup>1</sup> -- Annual Reregistration<sup>2</sup>

(1) ( <b>If</b> I	Is the applicant currently registered under 3 AAC 52.358?Yes No NO, applicant needs to file registration form RE301³ or RE302⁴ instead of this form.)
(2)	If the answer to the previous question was YES, please provide the following information:
	(a) What was the exact company name used for the last filing?
	(b) When was the previous application filed?
	(c) What RCA file or receipt number was assigned to your previous application, if any?
(3)	Are you requesting a waiver of any Commission rules with this reregistration application? Yes No
(4)	Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility
enti faci for a ope mor	An entity, including a registered interexchange carrier, that proposes to provide facilitiesed intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an ty that provides service to inmates in a correctional facility; and an entity affiliated with either a lities-based interexchange carrier or an incumbent local exchange carrier, must file an application a certificate of public convenience and necessity. A registered interexchange carrier or alternate rator service provider with more than one million intrastate billable minutes for three consecutive on this shall also file an application for a certificate of public convenience and necessity in appliance with this section. 3 AAC 52,360(a).
	<sup>2</sup> This form is for registered artitles applies to several All (1.00)

<sup>&</sup>lt;sup>2</sup> This form is for registered entities seeking to reregister. Alternate operator service (AOS) providers and IXCs not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXCs converting to registration must use RCA Form RE302.

<sup>&</sup>lt;sup>3</sup> RCA Form RE301: Application Form For Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

<sup>&</sup>lt;sup>4</sup> RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

	services; a reprimand, penalty, or conviction of affiliates related to operations, gross misrep securities violations; or an adjudication of bank of the applicant or its officers, directors, or affiliates.	resentations, fraudulent transactions, or kruptcy or a reorganization in bankruptcy		
<ul><li>(5) Determination of Bond Amount:</li><li>(a) Does applicant provide prepaid services?Yes No</li></ul>				
	(b) If applicant provides prepaid services, doe with the RCA?Yes No (If the answer to this question is NO, app this reregistration in order to qualify.)			
	(c) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA?Yes No (Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)			
(6)	(6) Is applicant currently in compliance with 3 AAC 52.358(e) and (f)?Yes No			
	Please use the space below (or additional page required by 3 AAC 52.358(b) that has not be application.	es if necessary) to update any information en reported in a previous reregistration		
Verification and Agreement The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.				
Prin	ted Name and Title of Authorized Company Official:			
Sigr	nature of Authorized Company Official:			
		Date:		

# Revised before R-15-004 (Redline)

	RCA Form RE303		
		For Staff-Use Only File #: Entity #: Entity Name: Date-Filed:	Commented [MJPR(1]: This box is not used by Staff so it should be deleted consistent with revised RE301 and RE302.
	APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATI SERVICE PROVIDERS NOT SUBJECT TO CERTIFIC 52.358(g)) Annual Reregistration	CATION1 (3 AAC	
Instructions: In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska, along with:  Commented [MJPR(2]: Added instructions section be consistent with Forms RE301 and RE302.			Commented [MJPR(2]: Added instructions section to be consistent with Forms RE301 and RE302.
<ul> <li>\$50 annual registration renewal fee (check or money order only, payable to "Regulatory Commission of Alaska")</li> <li>proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx</li> <li>request for waiver of Commission rule(s) (if applicable)</li> <li>(1) Is the applicant currently registered under 3 AAC 52.358?Yes No (If NO, applicant needs_teshould_file_registration_RCA_form_Form_RE3013 or RE3024 instead of this form.)</li> </ul>			Commented [MJPR(3]: Added this requirement to make sure the applicant's Alaska business license is current.
	(2) If the answer to the previous question was YES Yes, please provide the following information:		
	(a) What was the exact company name used for the last filing?		
	An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a certificate of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52,360(a).		
	<sup>2</sup> This form is for a registered entities entity seeking to reregisterrenew service (AOS) providers and IXCs not currently certificated seeking to RCA Form RE301. Certificated IXCs converting to registration must us	register for the first time must use	

<sup>3</sup> RCA Form RE301: Application Form Fer-for Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; — Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

<sup>4</sup> RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers not-Not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

Application Form RE303 Annual Reregistration Page 1 of 3 v2 04/12/2017

Commented [MJPR(4]: The applicant should provid the waiver information if it answered Yes to the
question.
Commented [MJPR(5]: There could be scenario
where the answer to (e) is yes and (f) is no, or vice versa. So Staff separated this into two questions.

Application Form RE303 Annual Reregistration Page 2 of 3 v2 04/12/2017

### RCA Form RE303

(3 AAC 52.358(b)(16)) Verification and Agreement
The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be

Printed Name and Title of Authorized Company Official:	
Signature of Authorized Company Official:	
	Date:

Application Form RE303 Annual Reregistration Page 3 of 3 v2 04/12/2017

# Revised before R-15-004 (Clean)

## APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION<sup>1</sup> (3 AAC 52.358(g)) -- Annual Reregistration<sup>2</sup>

<u>Instructions: In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska, along with:</u>

- \$50 annual registration renewal fee (check or money order only, payable to "Regulatory Commission of Alaska")
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx
- request for waiver of Commission rule(s) (if applicable)

(1) Is the applicant currently registered under 3 AAC 52.358? Yes No (If NO, applicant should file RCA Form RE301³ or RE302⁴ instead of this form.)
(2) If the answer to the previous question was Yes, please provide the following information:
(a) What was the exact company name used for the last filing?
(b) When was the previous application filed?
(c) What RCA file or receipt number was assigned to your previous application, if any?
(3) (3 AAC 52.358(b)(3)) Name, title, and telephone number of the individual who is the applicant's liaison with the Commission in regard to the reregistration.

<sup>&</sup>lt;sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

<sup>&</sup>lt;sup>2</sup> This form is for a registered entity seeking to renew its registration. Alternate operator service (AOS) providers and IXCs not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXCs converting to registration must use RCA Form RE302.

<sup>&</sup>lt;sup>3</sup> RCA Form RE301: Application Form for Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

<sup>&</sup>lt;sup>4</sup> RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers Not Subject to Certification; — Registration (for current holders of intrastate interexchange telecommunications certificates).

	<ol> <li>Are you requesting a waiver of any Commission rules with this reregistration application?</li> <li>Yes No</li> </ol>		
(If	the answer to this question is Yes, applicant must file waiver information)		
(5)	(3 AAC 52.358(b)(8)) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates?No		
(6) Determination of Bond Amount: (a) Does applicant provide prepaid services?Yes No			
	(b) (3 AAC 52.358(e)(1)) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA?Yes No (If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)		
	(c) (3 AAC 52.358(e)(2)) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA?Yes No (If the answer to this question is NO, applicant must submit a \$1,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)		
(7)	Is applicant currently in compliance with 3 AAC 52.358(e)?Yes No		
(8)	(8) Is applicant currently in compliance with 3 AAC 52.358(f)?YesNo		
(9)	(3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?		
(10	) Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.		

### RCA Form RE303

## <u>Verification and Agreement</u> (3 AAC 52.358(b)(16))

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official:	
Signature of Authorized Company Official:	
	Date:

# Revised after R-15-004 (Redline)

RCA Form RE303			
ļ 	For Staff Use Only File #: Entity #: Entity Name: Date Filed:		Commented [MJPR(1]: This box is not used by Staff so it should be deleted consistent with revised RE301 and RE302.
APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNAT SERVICE PROVIDERS NOT SUBJECT TO CERTIF 52.358(g)) Annual Reregistration	ICATION1 (3 AAC	J	
Instructions: In accordance with 3 AAC 48.095, file a complete paper to the Regulatory Commission of Alaska, along with:	application electronically or on		Commented [MJPR(2]: Added instructions section to be consistent with Forms RE301 and RE302.
<ul> <li>\$50 annual registration renewal fee (check or money or "Regulatory Commission of Alaska")</li> <li>proof of authority to do business in Alaska (3 AAC 52.3 https://www.commerce.alaska.gov/web/cbpl/BusinessL</li> <li>request for waiver of Commission rule(s) (if applicable)</li> </ul>	58(b)(5)) (see		Commented [MJPR(3]: Added this requirement to make sure the applicant's Alaska business license is current.
(1) Is the applicant currently registered under 3 AAC 52.358? Yes No (If NO, applicant needs to should file registration RCA form Form RE3013 or RE3024 instead of this form.)			
(2) If the answer to the previous question was ¥ESYes, please p	provide the following information:		
(a) What was the exact company name used for the last fili	ing?		
1 An antitus traduction and the state of the			

Application Form RE303 Annual Reregistration Page 1 of 3 v2 04/12/2017

<sup>&</sup>lt;sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a <u>certificate</u> of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section, 3 AAC 52.360(a).

<sup>&</sup>lt;sup>2</sup> This form is for <u>a registered entities entity</u> seeking to reregisterrenew its registration. Alternate operator service (AOS) providers and IXCs not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXCs converting to registration must use RCA Form RE302.

<sup>&</sup>lt;sup>3</sup> RCA Form RE301: Application Form For-for Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

<sup>&</sup>lt;sup>4</sup> RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers not-Not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

RCA Form RE303	
(b) When was the previous application filed?	`
(c) What RCA file or receipt number was assigned to your previous application, if any?	
(3) (3 AAC 52.358(b)(3)) Name, title, and telephone number of the individual who is the applicant's liaison with the Commission in regard to the reregistration.	
(34) Are you requesting a waiver of any Commission rules with this reregistration application?  YesNo	
(If the answer to this question is Yes, applicant must file waiver information)	Commented [MJPR(4]: The applicant should provide the waiver information if it answered Yes to the
(45) (3 AAC 52.358(b)(8)) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or federal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions, or securities violations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates?Yes No	question.
(56) Determination of Bond Amount:  (a) Does applicant provide prepaid services?Yes No	
(b) (3 AAC 52.358(e)(1)) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA?YesNo (If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)	
(c) (3 AAC 52.358(e)(2)) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA?Yes No (If the answer to this question is NO, applicant must submit a \$1,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)	
(67) Is applicant currently in compliance with 3 AAC 52.358(e) and (f)? Yes No	Commented [MJPR(5]: There could be scenario where the answer to (e) is yes and (f) is no, or vice
(8) Is applicant currently in compliance with 3 AAC 52.358(f)? Yes No	versa. So Staff separated this into two questions.
(9) Can the applicant verify that it did not have more than one million intrastate billable minutes per month for three consecutive months in the previous calendar year?  Yes – No	Commented [MJPR(6]: R-15-004 proposes to add this language to 3 AAC 52.358(g), which Staff anticipates that this provision in R-15-004 will be adopted. Here, Staff wants to future proof this form so we do not have to go to a Public Meeting another time just to approve the form again, if R-15-004 is adopted as written.

Application Form RE303 Annual Reregistration Page 2 of 3 v2 04/12/2017 (10) (3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?

(711) Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.

(3 AAC 52.358(b)(16)) Verification and Agreement
The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Office	cial:
Signature of Authorized Company Official:	
orgination of Authorized Company Official.	
	Date:

Application Form RE303 Annual Reregistration Page 3 of 3 v2 04/12/2017

# Revised after R-15-004 (Clean)

## APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO CERTIFICATION<sup>1</sup> (3 AAC 52.358(g)) -- Annual Reregistration<sup>2</sup>

Instructions: In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska, along with:

- \$50 annual registration renewal fee (check or money order only, payable to "Regulatory Commission of Alaska")
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see <a href="https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx">https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx</a>
- request for waiver of Commission rule(s) (if applicable)

(1) Is the applicant currently registered under 3 AAC 52.358?YesNo (If NO, applicant should file RCA Form RE301³ or RE302⁴ instead of this form.)	
(2) If the answer to the previous question was Yes, please provide the following information:	
(a) What was the exact company name used for the last filing?	
(b) When was the previous application filed?	
(c) What RCA file or receipt number was assigned to your previous application, if any	y?

<sup>&</sup>lt;sup>1</sup> An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a <u>certificate</u> of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52,360(a).

<sup>&</sup>lt;sup>2</sup> This form is for a registered entity seeking to renew its registration. Alternate operator service (AOS) providers and IXCs not currently certificated seeking to register for the first time must use RCA Form RE301. Certificated IXCs converting to registration must use RCA Form RE302.

<sup>&</sup>lt;sup>3</sup> RCA Form RE301: Application Form for Intrastate Interexchange Carriers And Alternate Operator Service Providers Not Subject To Certification; -- Registration (for applicants not currently certificated to provide intrastate interexchange telecommunications).

<sup>&</sup>lt;sup>4</sup> RCA Form RE302: Application Form for Intrastate Interexchange Carriers and Alternate Operator Service Providers Not Subject to Certification; -- Registration (for current holders of intrastate interexchange telecommunications certificates).

## RCA Form RE303

	3 AAC 52.358(b)(3)) Name, title, and telephone number of the individual who is the applicant's liaison with the Commission in regard to the reregistration.
	are you requesting a waiver of any Commission rules with this reregistration application?Yes No
(If th	ne answer to this question is Yes, applicant must file waiver information)
p fe o a re v	3 AAC 52.358(b)(8)) Has the applicant been involved in any administrative and judicial proceedings (not previously reported in a RCA registration or reregistration) in a state or ederal jurisdiction that resulted in: suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services; a reprimand, penalty, or conviction of the applicant or its officers, directors, or affiliates elated to operations, gross misrepresentations, fraudulent transactions, or securities riolations; or an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates?Yes No
` '	Petermination of Bond Amount: a) Does applicant provide prepaid services?Yes No
(1	b) (3 AAC 52.358(e)(1)) If applicant provides prepaid services, does it currently have a \$5,000 bond on file with the RCA?Yes No (If the answer to this question is NO, applicant must submit a \$5,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)
(	c) (3 AAC 52.358(e)(2)) If applicant does not provide prepaid services, does it currently have a \$1,000 bond on file with the RCA?Yes No (If the answer to this question is NO, applicant must submit a \$1,000 bond with this reregistration in order to qualify. Failure to submit or maintain the required bond with the RCA will result in rejection of your reregistration application.)
(7) Is	s applicant currently in compliance with 3 AAC 52.358(e)?Yes No
(8) ls	s applicant currently in compliance with 3 AAC 52.358(f)?YesNo
r	Can the applicant verify that it did not have more than one million intrastate billable minutes per month for three consecutive months in the previous calendar year?  YesNo
(10)	(3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?
ŕ	Please use the space below (or additional pages if necessary) to update any information required by 3 AAC 52.358(b) that has not been reported in a previous reregistration application.

### RCA Form RE303

## Verification and Agreement (3 AAC 52.358(b)(16))

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to reregister under 3 AAC 52.358. The applicant understands and agrees that if any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official:	
Signature of Authorized Company Official:	
	Date:

## Tariff Content Verification Form

## **Current Form**

## **Tariff Content Verification Form**

For Staff Use Only File #:
Entity Number: Filed Date:
<del> </del>

<u>Instructions to Applicant or Registered Entity</u>: This form must be completed and included with an entity's application for registration and also filed with each tariff revision. Be sure to provide the information below, answer all questions, and sign the Verification and Agreement.

Address of Entity:	
Each Tariff Filing and Tariff Revision Filing must comply with the following regulations.	Does registered entity's filing comply? (Applicant/registered entity must mark a response to each regulation below.)
RATES	
(Maximum Rates) 3 AAC 52.358(d): "An entity registering under this section shall have tariffed rates no greater than the maximum rates listed in this subsection"	<ul><li>☐ Yes</li><li>☐ No</li><li>☐ Have filed separate written waiver.</li></ul>
(Geographically Averaged Rates) 3 AAC 52.370(a): "The retail rates for message telephone service of each interexchange carrier must be geographically averaged"	☐ Yes ☐ No ☐ Have filed separate written waiver.
(Statewide service for 1-plus direct dialed service) 3 AAC 52.370(e): "A carrier offering an intrastate interexchange service on a 1-plus direct-dialed basis shall make the service available statewide. In an area where the carrier does not offer 1-plus direct-dialed service, the carrier shall provide the service through a toll-free number or through a calling card with no additional surcharge. If a carrier is unable to provide the service through a toll-free number or calling card, the carrier shall request commission approval of an alternative method of providing service or seek a waiver of this subsection."	⊔ Yes □ No □ Have filed separate written waiver.

Name of Entity:

CHARGES. 3 AAC 52.367(b): In its online tariff, a registered entity may include the following charges, if the entity fully describes those charges in its online tariff, and if the charge complies				
with the requirements applicable to that charge:				
(Customer Deposit) 3 AAC 52.367(b)(1): "a customer deposit, if the	☐ Yes			
entity's online tariff sets out objective criteria for determining when a	│ □ No			
customer deposit will be required; the deposit may not exceed the	☐ Have filed separate			
entity's estimate of two months' billings and may not be retained longer	written waiver.			
than two years unless the customer is delinquent in payment more than				
once in any 12 consecutive months; however, an alternate operator				
service provider may not require a customer deposit;"				
(Late payment fees) 3 AAC 52.367(b)(2): "late payment charges for	□ Yes			
bills not paid 30 days after the later of the billing invoice date or the	□No			
billing postmark date; the entity may include only the following in a late	☐ Have filed separate			
payment charge: (A) a one-time late payment fee not exceeding one	written waiver.			
percent of the unpaid amount; (B) a finance charge not exceeding .0287				
percent of the unpaid amount per day that the amount remains unpaid;"				
(Termination Liability) 3 AAC 52.367(b)(3): "a termination fee for a	□ Yes			
service with a term commitment, if the (A) termination fee is clearly	□No			
stated in the term-of-service contract; and (B) amount of the termination	☐ Have filed separate			
fee is reasonably related to the discount the customer received before	written waiver.			
termination and is not punitive."				
OTHER				
(Table of Contents and Section for Notices) 3 AAC 52.367(c): "A	□ Yes			
registered entity's online tariff must include a table of contents and a	□ No			
section for setting out notices of any proposed tariff revisions,"	☐ Have filed separate			
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	written waiver.			
3 AAC 52.367(c): (continued) "[A registered entity's online tariff] must set	out in plain language a			
statement of the following:"				
(Customer Complaint Information) 3 AAC 52.367(c)(1): "customer	□ Yes			
complaint information, including: (A) an address and toll-free telephone	□ No			
number for customer complaints; (B) a process for resolving complaints	☐ Have filed separate			
with customers; (C) a statement that any unresolved disputes may be	written waiver.			
brought to the attention of the commission; and (D) the commission's				
address and toll-free telephone number;"				
(Taxes and Fees) 3 AAC 52.367(c)(2): "a list of each state and	□ Yes			
municipal tax and fee for service, and a statement that each state and	십 No			
municipal tax and fee will be listed as a separate line item on a	☐ Have filed separate			
customer's bill;"	written waiver.			
(Dishonored Checks) 3 AAC 52.367(c)(3): "a statement that any costs	□ Yes			
sought under AS 09.68.115(a)(2) for a customer's dishonored check	□No			
may be charge as a separate line item on a customer's bill;"	☐ Have filed separate			
	written waiver.			
(Service Locations) 3 AAC 52.367(c)(4): "unless a service is provided	□ Yes			
statewide, the specific locations where a service is provided;"	□ No			
,	☐ Have filed separate			
	written waiver.			
(Special Contracts) 3 AAC 52.367(c)(5): "a list of any special contracts	□ Yes			
for retail services; the list must identify each customer and the retail	□ No			
service specially provided to that customer;"	☐ Have filed separate			
, ,,,	written waiver.			
	MILLOUI WAITOL			

(Limitation of Liability) 3 AAC 52.367(c)(6): "a statement that any	☐ Yes
limitation of liability provision in the online tariff is subject to the	□No
following: (A) a registered entity may not disclaim liability for its own	☐ Have filed separate
gross negligence or willful misconduct; (B) inclusion of a limitation of	written waiver.
liability provision in a registered entity's online tariff does not prevent a	
court of competent jurisdiction from (i) determining the validity of the	
limitation of liability provision, or of any exculpatory clause, under	
applicable law; or (ii) adjudicating negligence and consequential	
damage claims."	

## **Verification and Agreement:**

The undersigned verifies that: 1) its tariff complies with the above referenced rules; 2) does not grant an unreasonable preference or advantage to any customer, 3) does not subject a customer to an unreasonable prejudice or disadvantage, and 4) does not use corporate structure to by-pass state regulation and statutory requirements.

This registered entity also agrees that it is responsible for complying with all applicable rules of the Regulatory Commission of Alaska and with the Alaska Statutes. It understands that if it applies or enforces any provision of its tariff that is in conflict with a State statute or regulation, the rule or statute will govern; and the registered entity may be subject to enforcement action by the Regulatory Commission of Alaska.

Printed Name and Title of Authorized Company Of	ficial:	
Signature of Authorized Company Official:		_
	Date:	

## Revised (Redline)

## <u>Tariff Content Verification Form</u> (3 AAC 52.358(b)(13))

For Staff Use Only	_
File #:	
Entity Number:	
Filed Date:	

Instructions to Applicant or Registered Entity: This formula and included with an entity's application for registration and tariff revision. Be sure to provide the information below, and sign the Verification and Agreement.	d also filed with each	7	
Name of Entity: Address of Entity:			
Each Tariff Filing and Tariff Revision Filing must comply with the following regulations.	Does registered entity's filing comply? (Applicant/registered entity must mark a response to each regulation below.)		
RATES  (Maximum Rates) 3 AAC 52.358(d): An entity registering under this section shall have tariffed rates no greater than the maximum rates listed in this subsection	☐ Yes☐ No☐ Have filed separate written waiver.		Commented [MJPR(1]: Staff removed all quotation marks, as unnecessary.
(Geographically Averaged Rates) 3 AAC 52.370(a): "The retail rates for message telephone service of each interexchange carrier must be geographically averaged"	☐ Yes☐ No☐ Have filed separate written waiver.		
(Statewide-service-for-1-plus-direct dialed service) 3 AAC-52.370(e): "A carrier offering an intrestate interexchange service on a 1-plus direct-dialed basis shall make the service available statewideIn an area where the carrier does not offer 1-plus direct-dialed service, the carrier-shall-provide the service through a toll-free number or through a calling-card-with no additional surcharge. If a carrier is unable to provide the service through a toll-free number or calling-card, the carrier-shall-request commission approval of an alternative method of providing-service or seek a waiver of this subsection."	☐ Yes ☐-Ne ☐ Have filed-separate written-waiver-		Commented [MJPR(2]: This regulation was repealed in Order R-12-005(4), dated June 5, 2013.

CHARGES. 3 AAC 52.367(b): In its online tariff, a registered entity ma charges, if the entity fully describes those charges in its online tariff, an	☑ include the following d if the charge complies		Commented [MJPR(3]: Staff added emphasis that this is "may" and not "must".
with the requirements applicable to that charge: (Customer Deposit) 3 AAC 52.367(b)(1): "a customer deposit, if the	☐ Yes		Formatted: Font: Bold, Italic, Underline
entity's online tariff sets out objective criteria for determining when a	□ res	,	Formatted: Font: Bold, Italic, Underline
customer deposit will be required; the deposit may not exceed the	☐ Have filed separate		To matted. To the Bold, Maile, Olidelinie
entity's estimate of two months' billings and may not be retained	written waiver.		
longer than two years unless the customer is delinquent in payment			
more than once in any 12 consecutive months; however, an alternate	1		
operator service provider may not require a customer deposit;"			
(Late payment fees) 3 AAC 52.367(b)(2): #late payment charges for	☐ Yes		
bills not paid 30 days after the later of the billing invoice date or the	□ No		
billing postmark date; the entity may include only the following in a	☐ Have filed separate		
late payment charge: (A) a one-time late payment fee not exceeding	written waiver.		
one percent of the unpaid amount; (B) a finance charge not			
exceeding .0287 percent of the unpaid amount per day that the			
amount remains unpaid;		1	
(Termination Liability) 3 AAC 52.367(b)(3): "a termination fee for a	☐ Yes		
service with a term commitment, if the (A) termination fee is clearly	□ No		
stated in the term-of-service contract; and (B) amount of the	☐ Have filed separate		
termination fee is reasonably related to the discount the customer	written waiver.		
received before termination and is not punitive."		1	
OTHER		1	
(Table of Contents and Section for Notices) 3 AAC 52.367(c): "A	☐ Yes		
registered entity's online tariff must include a table of contents and a	□ No		
section for setting out notices of any proposed tariff revisions,"	☐ Have filed separate written waiver.		
			Commented [MJPR(4]: Added a break to distinguish
	T WHITE OF WAIVE IN	1	
3 AAC 52.367(c): (continued) "[A registered entity's online tariff] must			between "may" section and the "must" section.
language a statement of the following:"	set out in plain		between "may" section and the "must" section.  Commented [MJPR(5]: Added emphasis that this must be
language a statement of the following:" (Customer Complaint Information) 3 AAC 52.367(c)(1): "customer	set out in plain		between "may" section and the "must' section.  Commented [MJPR(5]: Added emphasis that this must be in the tariff.
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language a statement of the following:" (Customer Complaint Information) 3 AAC 52.367(c)(1): "customer complaint information, including: (A) an address and toll-free telephone number for customer complaints; (B) a process for resolving complaints with customers; (C) a statement that any unresolved disputes may be brought to the attention of the commission; and (D) the commission's address and toll-free telephone number;"	set out in plain  Yes No Have filed separate written waiver.		between "may" section and the "must' section.  Commented [MJPR(5]: Added emphasis that this must be in the tariff.
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Tariff Content Verification Form Page 2 of 3 v2 04/12/2017

(Limitation of Liability) 3 AAC 52.367(c)(6): a statement that any	ПYes
limitation of liability provision in the online tariff is subject to the	□ No
following: (A) a registered entity may not disclaim liability for its own	☐ Have filed separate
gross negligence or willful misconduct; (B) inclusion of a limitation of	written waiver.
liability provision in a registered entity's online tariff does not prevent	
a court of competent jurisdiction from (i) determining the validity of the	
limitation of liability provision, or of any exculpatory clause, under	
applicable law; or (ii) adjudicating negligence and consequential	
damage claims.	

### **Verification and Agreement:**

The undersigned verifies that: 1) its tariff complies with the above referenced rules; 2) does not grant an unreasonable preference or advantage to any customer, 3) does not subject a customer to an unreasonable prejudice or disadvantage, and 4) does not use corporate structure to by-pass state regulation and statutory requirements.

This registered entity also agrees that it is responsible for complying with all applicable rules of the Regulatory Commission of Alaska and with the Alaska Statutes. It understands that if it applies or enforces any provision of its tariff that is in conflict with a State statute or regulation, the rule or statute will govern; and the registered entity may be subject to enforcement action by the Regulatory Commission of Alaska.

Printed Name and Title of Authorized Company Official:	:
Signature of Authorized Company Official:	
	Date:

Tariff Content Verification Form Page 3 of 3 v2 04/12/2017

## Revised (Clean)

## **Tariff Content Verification Form**

(3 AAC 52.358(b)(13))

Instructions to Applicant or Registered Entity: This form must be completed and included with an entity's application for registration and also filed with each tariff revision. Be sure to provide the information below, answer all questions, and sign the Verification and Agreement.

Name of Entity:	
Address of Entity:	
Each Tariff Filing and Tariff Revision Filing must comply with the following regulations.	Does registered entity's filing comply? (Applicant/registered entity must mark a response to each regulation below.)
RATES	
(Maximum Rates) 3 AAC 52.358(d): An entity registering under this section shall have tariffed rates no greater than the maximum rates listed in this subsection	☐ Yes ☐ No ☐ Have filed separate written waiver.
(Geographically Averaged Rates) 3 AAC 52.370(a): The retail rates	☐ Yes
for message telephone service of each interexchange carrier must be geographically averaged	☐ No☐ Have filed separate written waiver.
CHARGES. 3 AAC 52.367(b): In its online tariff, a registered entity may	
charges, if the entity fully describes those charges in its online tariff, and with the requirements applicable to that charge:	
(Customer Deposit) 3 AAC 52.367(b)(1): a customer deposit, if the	☐ Yes
entity's online tariff sets out objective criteria for determining when a customer deposit will be required; the deposit may not exceed the entity's estimate of two months' billings and may not be retained longer than two years unless the customer is delinquent in payment more than once in any 12 consecutive months; however, an alternate operator service provider may not require a customer deposit;	☐ No ☐ Have filed separate written waiver.
(Late payment fees) 3 AAC 52.367(b)(2): late payment charges for	☐ Yes
bills not paid 30 days after the later of the billing invoice date or the billing postmark date; the entity may include only the following in a late payment charge: (A) a one-time late payment fee not exceeding one percent of the unpaid amount; (B) a finance charge not exceeding .0287 percent of the unpaid amount per day that the	☐ No☐ Have filed separate written waiver.
amount remains unpaid;	

(Termination Liability) 3 AAC 52.367(b)(3): a termination fee for a service with a term commitment, if the (A) termination fee is clearly stated in the term-of-service contract; and (B) amount of the termination fee is reasonably related to the discount the customer received before termination and is not punitive.	☐ Yes ☐ No ☐ Have filed separate written waiver.
OTHER	
(Table of Contents and Section for Notices) <b>3 AAC 52.367(c)</b> : A registered entity's online tariff must include a table of contents and a section for setting out notices of any proposed tariff revisions,	☐ Yes ☐ No ☐ Have filed separate written waiver.
3 AAC 52.367(c): (continued) [A registered entity's online tariff] <u>must</u> so a statement of the following:	et out in plain language
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(Taxes and Fees) 3 AAC 52.367(c)(2): a list of each state and municipal tax and fee for service, and a statement that each state and municipal tax and fee will be listed as a separate line item on a customer's bill;	<ul><li>☐ Yes</li><li>☐ No</li><li>☐ Have filed separate written waiver.</li></ul>
(Dishonored Checks) 3 AAC 52.367(c)(3): a statement that any costs sought under AS 09.68.115(a)(2) for a customer's dishonored check may be charge as a separate line item on a customer's bill;	☐ Yes ☐ No ☐ Have filed separate written waiver.
(Service Locations) 3 AAC 52.367(c)(4): unless a service is provided statewide, the specific locations where a service is provided;	☐ Yes ☐ No ☐ Have filed separate written waiver.
(Special Contracts) 3 AAC 52.367(c)(5): a list of any special contracts for retail services; the list must identify each customer and the retail service specially provided to that customer;	☐ Yes ☐ No ☐ Have filed separate written waiver.
(Limitation of Liability) 3 AAC 52.367(c)(6): a statement that any limitation of liability provision in the online tariff is subject to the following: (A) a registered entity may not disclaim liability for its own gross negligence or willful misconduct; (B) inclusion of a limitation of liability provision in a registered entity's online tariff does not prevent a court of competent jurisdiction from (i) determining the validity of the limitation of liability provision, or of any exculpatory clause, under applicable law; or (ii) adjudicating negligence and consequential damage claims.	☐ Yes ☐ No ☐ Have filed separate written waiver.

## **Verification and Agreement**:

The undersigned verifies that: 1) its tariff complies with the above referenced rules; 2) does not grant an unreasonable preference or advantage to any customer, 3) does not subject a customer to an unreasonable prejudice or disadvantage, and 4) does not use corporate structure to by-pass state regulation and statutory requirements.

This registered entity also agrees that it is responsible for complying with all applicable rules of the Regulatory Commission of Alaska and with the Alaska Statutes. It understands that if it applies or enforces any provision of its tariff that is in conflict with a State statute or regulation, the rule or statute will govern; and the registered entity may be subject to enforcement action by the Regulatory Commission of Alaska.

Printed Name and Title of Authorized Company Official:	
Signature of Authorized Company Official:	
	Date: