

Date Filed: \_\_\_\_\_

Docket No: \_\_\_\_\_

*To be filled out by Commission Staff*

## Application for an Initial Finding of Public Convenience and Necessity for New Public Water or Sewer Systems

This application is intended for future water or sewer utilities that will eventually apply for a Provisional Certificate of Public Convenience and Necessity (Provisional Certificate) or a Certificate of Public Convenience and Necessity (Certificate) from the RCA and are currently being reviewed by the Alaska Department of Environmental Conservation (ADEC) for an Approval to Construct. This application is also for a certificated public water or sewer utility that is seeking to expand its service area into areas that are not adjacent to its existing service area. A finding of public convenience and necessity does not grant approval to operate, however it may be referenced within the utility's application for a Provisional Certificate or Certificate, which must be completed and approved prior to providing service to ten or more customers for compensation, in accordance with AS 42.05.221.

### Part I - General Information:

A. Applicant:

Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Telephone: (\_\_\_\_) \_\_\_\_ - \_\_\_\_

B. Person to be contacted with respect to this application:

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Business Address: \_\_\_\_\_

Daytime Telephone: (\_\_\_\_) \_\_\_\_ - \_\_\_\_

C. The Applicant is a:

- |   |  |
|---|--|
| <input type="checkbox"/> Cooperative                      | <input type="checkbox"/> Privately-Owned Corporation |
| <input type="checkbox"/> Individual                       | <input type="checkbox"/> Municipally-Owned Utility   |
| <input type="checkbox"/> Homeowner's or Condo Association | <input type="checkbox"/> Tribally-Owned Utility      |
| <input type="checkbox"/> Limited Partnership              | <input type="checkbox"/> General Partnership         |
| <input type="checkbox"/> Other: _____                     |  |

Date of Organization (if applicable): \_\_\_\_\_

- D. List any other Water or Sewer utilities which you are aware are currently providing similar service in, adjacent, or in within one mile of the area sought by the applicant<sup>1</sup>:

Name: \_\_\_\_\_

Business  
Address: \_\_\_\_\_

Competing Area: \_\_\_\_\_

Name: \_\_\_\_\_

Business  
Address: \_\_\_\_\_

Competing Area: \_\_\_\_\_

(continue on an additional attachment if necessary)

- E. List the Owners of Five Percent or more of the Applicant's Equity:

Name: \_\_\_\_\_

Business  
Address: \_\_\_\_\_

Percent Ownership: \_\_\_\_\_

Name: \_\_\_\_\_

Business  
Address: \_\_\_\_\_

Percent Ownership: \_\_\_\_\_

(continue on an additional attachment if necessary)

- F. List all persons or entities which are affiliated interests of the applicant as defined in AS 42.05.720(1) (A)-(G):

Name: \_\_\_\_\_

Business  
Address: \_\_\_\_\_

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<sup>1</sup> Competing entities are those entities providing, or intending to provide, the same, or substantially the same service or facility to any part of the requested service area.

Name: \_\_\_\_\_

Business \_\_\_\_\_

Address: \_\_\_\_\_

(continue on an additional attachment if necessary)

## Part II – General Documents:

Applicants must provide the following information in Attachments numbered to correspond to the items below:

### A. Benefit to the Public

Explain in detail why the new or additional utility service is, or may be, required for the public convenience and necessity and state why any existing, similar service is insufficient. If a public utility is already certificated to provide service in, adjacent to, or in close proximity to the requested area, please explain why it is not feasible to connect to this system. Within this explanation, the cost of connecting to an existing utility must be compared to the estimated construction, operation, and maintenance costs of the standalone system over a twenty year period. Also include the number and type of customers<sup>2</sup> by geographic location which the applicant expects to serve. Explain how the customer estimates were derived.

### B. Service Area Description and Map

Attach a written service area description that concisely and accurately represents the area requested. The description should be written using Range and Township descriptions, including the applicable Sections, as shown in the following example:

#### EXAMPLE SERVICE AREA DESCRIPTION:

T1S	R3W Sections:	All
T1S	R2W Sections:	Those sections and portions of sections North of the Tanana River
T1S	R1E Sections:	NW1/4, and N1/2 of SW1/4 of 3; S1/2 of 10
T1S	R2E Sections:	7 through 9; and 13 through 36

(All the above with reference to the Fairbanks Meridian)

In addition, attach a map clearly showing the boundary of the applicant's proposed service area using a United States Geographical Survey (USGS) topographic map, scale 1:63,360. In cases where the proposed service area is less than one square mile, contact the Commission Engineering Staff for instructions on what type of service area map may be accepted.

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<sup>2</sup> The Commission defines the number of customers as the number of physical service connections.

## Part III – Technical Documents:

At a minimum, an applicant must provide the following information:

### A. System Design and Installation

Include verification that Engineering Plans and/or the Discharge Permit Application have been provided to the ADEC. Also, attach the names, addresses, and credentials of those responsible for the design and installation of the proposed system.

### B. System Description

#### **Water**

Include a description of each source of water for the proposed service area, including the quantity available, pumping capacity for each source, reservoir capacity, and the available reserve capacity (in hours) in case of power outages or well failure. Also include a description of the treatment necessary to bring the water into compliance with ADEC technical standards.

#### **Sewer**

Include a description of the collection system and treatment facilities, information regarding the treatment necessary to bring discharge into compliance with ADEC technical standards, and the method of disposal of treated effluents for the proposed service area. Also include a description of the receiving environment into which the effluent will be discharged.

## Part IV – Financial Documents:

### A. Sources of Financing

List the sources of the financing for the proposed utility. Include documentation showing that the sources listed will provide the applicant with the required funds. Include the terms and conditions of all loans and equipment contracts that may be relevant. If a portion or all of the plant will be contributed, list the sources and conditions of all on-site and off-site assessments, grants, or other sources of funding.

### B. Design and Construction Costs

Include an estimate of the costs to design and install the water system to ADEC technical standards. Please break out each line item within the estimate, such as: Design, Well Installation, Physical Plant, Treatment System, etc...

### C. Sustainability

Will the utility be fully sustainable through customer revenues? Include an income statement, showing the projected revenues and all expenses, including capital recovery, for the first full fiscal year after the utility begins collecting fees from customers; if the estimated expenses exceed the estimated revenues, identify the source of supplemental funding or subsidy that is anticipated to sustain the utility's operation.

## **Part V – Filing Instructions**

The Application should be filed as one original and ten copies with the RCA. An application is not considered to be complete without the inclusion of the ten copies. The RCA is located in Phillips Tower South at 701 West Eighth Street, Anchorage, Alaska.

Questions about the application and filing requirements can be directed to the Commission Engineering Staff at one of the following numbers:

(907) 276-6222  
(800) 390-2782 (outside Anchorage)  
(907) 276-0160 (Fax)  
(907) 276-4533 (TTY)

## Part VI – Authorization

### Verification of Application and Authorization of Notice

The undersigned hereby verifies the application and requests the Regulatory Commission of Alaska to grant to applicant a Certificate of Public Convenience and Necessity for the services and service areas set out above.

The undersigned applicant hereby authorizes the Regulatory Commission of Alaska to arrange notice of this application to other utilities and interested persons by publication in newspapers of general circulation in the applicant's proposed service area. The applicant agrees to pay the cost of such publication of notice.

Dated at LOCATION Alaska, this DATE day of MONTH, YEAR.

\_\_\_\_\_  
Name of Applicant

By:

\_\_\_\_\_  
Principal Officer, Partner, or Owner

\_\_\_\_\_  
Name and Title

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Name of Attorney for Applicant

\_\_\_\_\_  
\_\_\_\_\_