

Application For A New Or Amended Certificate Of Public Convenience And Necessity for PIPELINE CARRIERS

Dear Applicant:

The following information is required in the application for a new or amended certificate of public convenience and necessity:

- 1) Checklist of information to be filed with the Commission (including verification form);
- 2) Application for a certificate of public convenience and necessity PU 101
- 3) Samples of the approved tariff form;
- 4) Regulatory Commission of Alaska Act (AS 42.05);
- 5) Rules of Practice and Procedure (3 AAC Chapters 47 through 53); and
- 6) Master Utility Directory Information;

Please note that all required information listed in 3 AAC 48.625 is required to obtain a Certificate of Public Convenience and Necessity to provide pipeline carrier service. Should the application be filed without all the required information, the application may be returned to the applicant for completion and re-filing.

The Commission's regulations (3 AAC 48.090) require that the final typed application be submitted in an original and ten (10) duplicate copies. Filings should be mailed or delivered to:

Regulatory Commission of Alaska,
701 West Eighth Avenue, Suite 300
Anchorage, Alaska 99501-3469.

If we can be of any further assistance with the completion of the application and financial schedules, please feel free to contact us at (907) 276-6222 in Anchorage, or within Alaska (800) 390-2782.

Sincerely,

Regulatory Commission of Alaska

**APPLICATION FOR CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY;
PIPELINE CARRIER SERVICE**

**3 AAC 48.625 PIPELINE CARRIER SERVICE:
CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY**

- (A) In addition to any other information or requirement specified by 3 AAC 48.600 - 3 AAC 48.660, a person requesting a right, power, privilege, or authority provided for in AS 42.06 shall make application to the commission. An application must be in writing, verified under oath, and must contain the following:
- (1) the name or names of the applicant;
 - (2) the name or names of persons the applicant believes in good faith may be affected if the application were granted;
 - (3) a clear and concise statement of what right, power, privilege, or other authority is being requested;
 - (4) the statutory citation authorizing the right, power, privilege, or other authorization;
 - (5) a detailed description of the proposed service to be offered and the pipeline or facility to be constructed, operated, extended, acquired, or abandoned or otherwise modified;
 - (6) in regard to new construction, the estimated system cost and annual operating expenses, and a description of financing arrangements and projected rates; and
 - (7) if a substantial change or modification is contemplated in a pipeline or facility or both, a detailed analysis of the projected change in transportation rates.
- (B) The commission will mail notice of the application to:
- (1) every person who has filed a request for notice of applications; and
 - (2) every person who the commission believes is interested in the proposed application.

Verification of Application and Authorization of Notice

The undersigned hereby verifies the application and requests the Alaska Regulatory Commission to grant to applicant a certificate of public convenience and necessity for the services and service areas set out above.

The undersigned applicant hereby authorizes the Alaska Regulatory Commission to arrange notice of this application to other utilities and interested persons by publication in newspapers of general circulation in the applicant's existing and/or proposed service area. The applicant agrees to pay the cost of such publication of notice.

DATED at _____ Alaska, this ____ day of _____, 2__.

Name of Applicant

By:

Principal Officer, Partner, or Owner

Name and Title

Address of Applicant

Name and address of attorney for applicant:

APUC FORM PU 101

(Revised 4/27/87; replaces Forms PU 101, PU 104, PU 107A, and PU 107B.)

DATE FILED: _____

DOCKET NO. _____

FEE PAID: _____

**APPLICATION FOR NEW OR AMENDED CERTIFICATE
OF PUBLIC CONVENIENCE AND NECESSITY**

PART I. GENERAL INFORMATION:

A. Applicant: _____
(Utility Name)

Business
Address: _____
(Street Address or P.O. Box)

(City) (State and Zip Code)

Business Telephone: _____
(Area Code/Number)

B. Person to be contacted with respect to this application:

(Name) (Street Address or P.O. Box)

(Position) (City, State, & Zip Code)

(Daytime Telephone Number)

C. Type of Utility:

- ☐ Electric
- ☐ Gas
- ☐ Refuse
- ☐ Sewer
- ☐ Telecommunications (Cable Television)
- ☐ Telecommunications (Local Exchange)
- ☐ Telecommunications (Long Lines Carrier)
- ☐ Telecommunications (Radio Common Carrier)
- ☐ Water
- ☐ Other _____

D. Applicant proposes to:

- ☐ Secure a certificate of public convenience and necessity.
- ☐ Amend Certificate No. _____

E. List any utilities or other entities which you are aware are currently providing the same type of service in the area sought by this application:¹

(1) _____

(2) _____

(Address)

(Address)

(Competing Service)

(Competing Service)

(Competing Service Area)

Competing Service Area)

F. Applicant is:

- ☐ Cooperative
 - ☐ Individual
 - ☐ Partnership
 - ☐ Limited
 - ☐ General
 - ☐ Other _____
 - ☐ Private-Owned Corporation
 - ☐ Municipally-Owned Utility
 - ☐ Political Subdivision of State
- Date of Organization (if applicable): _____

¹Competing entities are those entities providing, or intending to provide, the same, or substantially the same, service or facility to any part of the requested service area.

- G. List the owners of five percent or more of the applicant's equity.

(Name) (Percent Ownership)

(Address)

(Name) (Percent Ownership)

(Address)

(Name) (Percent Ownership)

(Address)

- H. List all persons or entities which are affiliated interests of the applicant as defined in AS 42.05.720(1)(A)-(G):

(Name) (Street Address or P.O. Box)

(City, State, and Zip Code)

(Name) (Street Address or P.O. Box)

(City, State, and Zip Code)

- I. Location where company books will be kept:

(Address)

(Note: AS 42.05.491 requires that a public utility keep its books, accounts, papers, and records in an office within the State of Alaska, unless the utility has received Commission approval for another location.)

PART II. GENERAL DOCUMENTS:

Applicants must provide the following information in attachments

numbered to correspond to the items below.

A. KEY MANAGEMENT

List the names, titles, and responsibilities of all key management now employed or to be employed in the utility operation. Submit a resume for each person, including all prior background, schooling, and any other qualifications which may be useful to the operation of the utility.

If accurate, up-to-date resumes are on file with the Commission, an applicant requesting an amendment to a certificate may satisfy this information requirement by submitting a statement to this effect.

B. Corporate or Partnership Documents

If the applicant is a corporation, enclose the following:

- 1) Certificate of Incorporation
- 2) Articles of Incorporation
- 3) Bylaws

If the applicant is a partnership, enclose a copy of the partnership agreement.

If accurate, up-to-date corporate or partnership documents are on file with the Commission, an applicant requesting an amendment to a certificate may satisfy this information requirement by submitting a statement to this effect.

C. Benefit to the Public

Explain in detail why the new or additional utility service is, or may be, required for the public convenience and necessity and state why any existing, similar service is insufficient or why overlapping certification is otherwise appropriate. Also include the number and type of customers by geographic location which the applicant expects to serve. Explain how the customer estimates were derived.

In addition to the above, if the applicant is a certificated utility, describe the impact of the amendment on the existing subscribers (i.e., effect on rates, quality of service, service provided, etc.).

D. Service Area Map

Attach a map clearly showing the boundary of the applicant's proposed service area using a U.S.G.S. topographic map, scale 1:63,360 (or 1:24,000 if available). In cases where the proposed service area is less than one square mile, contact the Commission

Staff for the appropriate map. Include a description of the area using townships and ranges.

If the applicant is a certificated utility, provide a map which shows how the current service area boundary will be modified if the amendment is approved.

E. Utility Practices and Procedures

Attached the proposed tariff or practices and procedures of the applicant, showing all rates, deposits, and charges associated with providing the proposed service to the general public and including the standard practices the applicant will follow when dealing with its customers (such as billing practices, conditions of disconnection, etc.). Applicants should refer to 3 AAC 48.200 -- AAC 48.420 for tariff requirements adopted by the Commission or may contact the Commission Staff for samples of approved tariffs.

If an applicant is a certificated utility, submit a statement which incorporates by reference those pages of its currently effective tariff which are applicable to the amendment and include in the application only additional or modified tariff pages resulting from the amendment (e.g., the service area map).

PART III. TECHNICAL DOCUMENT/INFORMATION

At a minimum, an applicant for a certificate must provide the following information for the type of utility certificate requested. All relevant permits and licenses for operation including, but not limited to, those listed below must be submitted with applications for certification.

A. Electric Utility

New Certificate

1) the names and addresses of those responsible for the design, installation, maintenance, and repair of equipment for the proposed system;

2) the make and capacity of each generating unit which will serve the proposed service area;

3) a one-line diagram of the generation system;

4) a layout of the generating plant which will serve the proposed service area;

5) information regarding the fuel supply for the proposed service area, including the means of delivery, storage capacity, and estimated annual consumption;

Amended Certificate

1) the names and addresses of all non-utility personnel or entities responsible for the design, installation, maintenance, and repair of equipment to serve the additional area.

2) the make and capacity of each generating unit added to serve the additional area and a statement of the effect the additional demand will have on existing generating capacity.

3) a one-line diagram of any new generation system constructed to serve the additional service area;

4) a layout of the generating plant, if new plant will be constructed or existing plant will be expanded or reconfigured as a result of the service area addition;

5) information regarding the means of delivery, storage capacity, and estimated annual consumption of fuel if a new power plant is constructed to serve the additional area; otherwise, describe how the additional demand affects the available fuel supply storage capacity;

New Certificate

6) a distribution and transmission system design diagram for the proposed service area, including a summation of transmission and distribution mileage;

7) a statement confirming that the proposed system meets the State minimum electrical safety standards adopted in AS 18.60.580 (i.e., the National Electric Safety Code) and, if the applicant will be an economically regulated utility, meets the requirements of 3 AAC 52.400 - 3 AAC 52.500; and

8) a description of any negotiations with telephone and/or cable television utilities on the joint use of pole lines.

Amended Certificate

6) a distribution and transmission system design diagram for the additional service area, including a summation of transmission and distribution mileage;

7) a statement confirming that the proposed system meets the State minimum electrical safety standards, adopted in AS 18.60.580 (i.e., the National Electric Safety Code) and, if the applicant is an economically regulated utility, meets the requirements of 3 AAC 52.400 - 3 AAC 52.500; and

8) a description of any negotiations with telephone and/or cable television utilities on the joint use of pole lines in the additional service area.

B. Gas Utility

New Certificate

- 1) the names and address of those responsible for the design, installation, maintenance, and repair of equipment for the proposed system;
- 2) copies of executed contracts for gas supplies for the proposed service area;
- 3) a distribution and transmission system diagram for the proposed service area; and
- 4) a statement confirming that the system has been designed to meet the requirements of 3 AAC 52.010 -- 3 AAC 52.080.

Amended Certificate

- 1) the names and addresses of all non-utility personnel or entities responsible for the design, installation, maintenance, and repair of equipment for the addition to the system;
- 2) copies of any additional executed contracts for gas supplies needed to serve the expanded service area and a statement of the impact of the additional service area on existing gas supplies;
- 3) a distribution and transmission system diagram for the additional service area; and
- 4) a statement confirming that the addition to the system has been designed to meet the requirements of 3 AAC 52.010 -- 3 AAC 52. 08.

C. Refuse Utility

New Certificate

1) the names and addresses of those responsible for the maintenance and repair of equipment for the proposed service;

2) a description of the equipment and/or facilities, i.e., number and type of vehicles, etc., which will serve the proposed service area;

3) a statement of the location of the landfill, other drop site, or facility for disposal of refuse from the proposed service area; and

4) a copy of the permit for the proposed service from the Alaska Department of Environmental Conservation ("Permit to Operate"). If the permit has not yet been issued, describe and document what has been done to obtain it.

Amended Certificate

1) the names and addresses of all non-utility personnel or entities responsible for the maintenance and repair of equipment acquired to serve the proposed service area addition;

2) a description of the equipment and/or facilities, i.e., number and type of vehicles, etc., which will be purchased to serve the additional service area and a description of the impact of the amendment on the existing equipment;

3) a statement of the location of the landfill, other drop site or facility for disposal of refuse from the additional service area, if different from the current location used by the utility; and

4) a copy of the permit for the proposed service from the Alaska Department of Environmental Conservation ("Permit to Operate"). If the permit has not yet been issued, describe and document what has been done to obtain it.

D. Sewer Utility

New Certificate

1) the names and addresses of those responsible for the design, installation, maintenance, and repair of the proposed system;

2) a description of the treatment facilities and the method of disposal of treated effluents for the proposed service area;

3) the system layout for the proposed service area including, at a minimum, sizes of existing and/or proposed mains and lateral lines, lift stations, and treatment plant(s); and

4) a copy of the approval for the proposed service from the Alaska Department of Environmental Conservation ("Final Operation Approval"). If the approval has not yet been issued, describe and document what has been done to obtain it.

Amended Certificate

1) the names and addresses of all non-utility personnel or entities responsible for the design, installation, maintenance, and repair of the addition to the system;

2) a description of the treatment facilities and the method of disposal of treated effluents for the additional service area, if different from those currently in use, and a description of the impact of the amendment on the existing facilities;

3) the system layout for the additional service area including, at a minimum, sizes of existing and/or proposed mains and lateral lines, lift stations, and treatment plants(s); and

4) a copy of the approval for the additional service from the Alaska Department of Environmental Conservation ("Final Operation Approval"). If the approval has not yet been issued, describe and document what has been done to obtain it.

Note: Sewer utilities may provide copies of information filed with the Alaska Department of Environmental Conservation for (2) and (3) of the above requires.

E. Telecommunications (Cable Television) Utility

New Certificate

- 1) the names and address of those responsible for the design, installation, maintenance, and repair of equipment for the proposed service;
- 2) head-end and distribution system equipment specification sheets for all major components in the proposed service area;
- 3) a diagram of the proposed head-end;
- 4) calculations showing the expected signal-to-noise ratio at the receiver output under worst-case conditions in the proposed service area;
- 5) calculations showing the expected audio and video signal-to-noise ratio for each operational channel at the furthest end point of each continuous route in the distribution system.
- 6) the distribution system layout in the proposed service area;

Amended Certificate

- 1) the names and addresses of all non-utility personnel or entities responsible for the design, installation, maintenance, and repair of equipment for the addition to the system;
- 2) head-end (if applicable) and distribution system equipment specification sheets for all major components constructed to serve the additional service area;
- 3) a diagram of any new head-end constructed to serve the additional service area;
- 4) calculations showing the expected signal-to-noise ratio at the receiver output under worst-case conditions in the additional service area;
- 5) calculations showing the expected audio and video signal-to-noise ratio at the receiver output under worst-case conditions in the additional service area;
- 6) the distribution system layout in the additional service area;

7) if the applicant is a telephone common carrier or an affiliate, directly or indirectly, of a telephone common carrier, a copy of the request to the Federal Communications Commission for waiver of 47 C.F.R. 63.54 and 63.55 or a statement with appropriate support explaining why such a waiver is not required; and

8) a description of any negotiations with electric and/or telephone utilities on the joint use of pole lines.

7) if the applicant is a telephone common carrier or an affiliate, directly or indirectly, of a telephone common carrier, a copy of the request to the Federal Communications Commission for waiver of 47 C.F.R. 63.54 and 63.55 or a statement with appropriate support explaining why such a waiver is not required; and

8) a description of any negotiations with electric and/or telephone utilities on the joint use of pole lines in the additional service area.

Note: If the application is approved, following the completion of the system the applicant will be required to file with the commission the results of the test data specified under 47 C.F.R. 76.601.

F. Telecommunications (Local Exchange or Long Lines) Utility

New Certificate

1) the names and addresses of those responsible for the design, installation, maintenance, and repair of equipment for the proposed system;

2) a description of the switching equipment serving the proposed service area by make, type of switch, and capacity;

3) a statement of the expected carried traffic during the busy hour, busy season;

4) the system layout (cable or radio) for the proposed service area including, at a minimum, the outside plant routing and the switching location;

5) a statement confirming that the system has been designed to meet the requirements of 3 AAC 52.200 -- 3 AAC 52.340;

6) a description of any negotiations with electric and/or cable television utilities on the joint use of pole lines; and

7) copies of the Federal Communications Commission application for the proposed service under 47 U.S.C.A. 214. If the application has not been made, explain why.

Amended Certificate

1) the names and addresses of all non-utility personnel or entities responsible for the design, installation, maintenance, and repair of equipment for the addition to the system;

2) a description by make, type, and capacity of any added switching equipment and a statement of the impact the additional service area will have on existing switching capacity (line, trunk, and common control);

3) a statement of the expected traffic generated in the additional service area during the busy hour, busy season;

4) the system layout (cable or radio) for the additional service area including, at a minimum, the outside plant routing and the switching location;

5) a statement confirming that the addition to the system has been designed to meet the requirements of 3 AAC 52.200 -- 3 AAC 52.340;

6) a description of any negotiations with electric and/or cable television utilities on the joint use of pole lines in the additional service area; and

7) copies of the Federal Communications Commission application for the proposed service under 47 U.S.C.A. 214. If the application has not been made, explain why.

G. Telecommunications (Radio Common Carrier) Utility

New Certificate

1) the names and addresses of those responsible for the design, installation, maintenance, and repair of equipment for the proposed system;

2) a description of the type of service to be offered (e.g., radio paging or mobile radio telephone) and a description of the system (e.g. radio terminal and transmitter(s)); and

3) copies of the Federal Communications Commission application for the proposed service under 47 C.F.R. 22. If the application has not been made, explain why.

Amended Certificate

1) the names and addresses of all non-utility personnel or entities responsible for the design, installation, maintenance, and repair of equipment for the addition to the system;

2) a description of the type of service to be offered (e.g., radio paging or mobile radio telephone); a description of any radio terminals, transmitters, and/or repeaters added as a result of the proposed service area expansion; and a description of the impact of the addition on the existing equipment; and

3) copies of the Federal Communications Commission application for the proposed service under 47 C.F.R. 22. If the application has not been made, explain why.

H. Water Utility

New Certificate

1) the names and addresses of those responsible for the design, installation, maintenance, and repair of equipment for the proposed system;

2) a description of the source(s) of water for the proposed service area, including the quantity available, pumping capacity for each source, reservoir capacity, and the available reserve capacity (in hours) in case of power outages and/or well failure;

3) the system layout for the proposed service area including, at a minimum, sizes of mains and lateral lines, and water treatment plant(s); and

4) copies of approvals for the proposed service from the Alaska Department of Environmental Conservation ("Final Operation Approval") and the Department of Natural Resources ("Certificate of Appropriation"). If the approvals have not yet been issued, describe and document what has been done to obtain them.

Amended Certificate

1) the names and addresses of all non-utility personnel or entities responsible for the design, installation, maintenance, and repair of equipment for the addition to the system;

2) a description of the source(s) of water for the additional service area, if different from that currently in use, including the quantity available, pumping capacity for each source, reservoir capacity, and the available reserve capacity (in hours) in case of power outages and/or well failure, and a description of the impact of the amendment on the existing water source;

3) the system layout for the additional service area including, at a minimum, sizes of mains and lateral lines and water treatment plant(s); and

4) copies of approvals for the additional service from the Alaska Department of Environmental Conservation ("Final Operation Approval") and the Department of Natural Resources ("Certificate of Appropriation") and the effect the new service will have on existing water rights. If the approvals have not yet been issued, describe and document what has been done to obtain them.

Note: Water utilities may provide copies of information filed with the Alaska Department of Environmental Conservation for (2) and (3) of the above requirements.

PART IV. FINANCIAL INFORMATION:

At a minimum, applicants must provide the following:

A. Financial Status:

The applicant must provide the following historical financial information:

1) if the applicant is an existing business which previously has not operated as a utility or has not been certificated to operate as a utility, copies of the most recent year's balance sheet and income statement for the existing business (preferably audited); or

2) if the applicant is a new business which proposes to operate as a utility, copies of the most recent year's balance sheet and income statement for the principal owners of the business; or

3) if the applicant is a certificated utility, copies of the appropriate pages from its annual report to the Commission, if those pages still reflect the current financial status of the utility, or copies of current statements to other agencies (e.g., Rural Electrification Administration Electric Form 7 or Telephone form 479) or copies of its most recent internal documents which contain substantially the same information.

In addition, applicants must provide the following pro forma financial schedules:

1) a schedule of operating revenues (see attached Schedule 1);

2) a schedule of operating expenses (see attached Schedule 2);

3) a plant-in-service and depreciation schedule (see attached Schedule 3);

4) a computation of the utility's weighted cost of capital (see attached Schedule 4);

5) a computation of the utility's rate base and return (see attached Schedule 5);

6) a tax computation (see attached Schedule 6); and

7) a revenue requirement and calculation of proposed rates (see attached Schedule 7).

B. Sources of Financing

List the sources of the financing for the proposed utility or expansion of an existing utility. Include documentation showing that the sources listed will provide the applicant with the required funds. Include the terms and conditions of all loans and equipment contracts which may be relevant. If a portion or all of the plant is contributed, list the sources and conditions of all on-site and off-site assessments, grants, or other sources of funding.

PART V. AUTHORIZATION

Verification of Application and Authorization of Notice

The undersigned hereby verifies the application and requests the Alaska Public Utilities Commission to grant to applicant a certificate of public convenience and necessity for the services and service areas set out above.

The undersigned applicant hereby authorizes the Alaska Public Utilities Commission to arrange notice of this application to other utilities and interested persons by publication in newspapers of general circulation in the applicant's existing and/or proposed service area. The applicant agrees to pay the cost of such publication of notice.

DATED at _____ Alaska, this ____ day of _____, 19 __.

Name of Applicant

By:

Principal Officer, Partner, or Owner

Name and Title

Address of Applicant

Name and address of attorney for
applicant:

SCHEDULES FOR FINANCIAL DATA

Schedules 1-3 refer to Account Numbers as defined by the Uniform System of Accounts (USOA) for utilities. Copies of the USOA applicable to the type and size of utility proposed in this application are set out in the Commission's regulations at 3 AAC 48.277 and may be obtained from the Commission. If possible, submit these schedules on an IBM PC compatible "Lotus 123" floppy disc. A pre-formatted "Lotus 123" floppy disc containing these schedules may be obtained from the Commission.

	Instructions for Applicants Seeking Initial Certification for an Already Operating Utility	Instructions for Applicants Seeking Certification for a New Utility	Instructions for Applicants Seeking an Amendment to the Service Area of a Certificated Utility
SCHEDULE 1	<p>List in Column III actual attained revenues for the most recent 12-month accounting period.</p> <p>List in Column IV an estimate for expected increases or decreases in revenues during the next 12-month accounting period. Provide an explanation for your estimates on a separate sheet.</p> <p>List in Column V an estimate for total revenues expected during the next 12-month accounting period. Column V should be the sum of Columns III and IV.</p>	<p>Leave Columns III and IV blank.</p> <p>List in Column V an estimate for total revenues expected during the next 12-month accounting period. Provide an explanation for your estimates on a separate sheet.</p>	<p>Leave Columns III and IV blank.</p> <p>List in Column V an estimate for an increase (or decrease) in revenues caused by the proposed amendment at the end of the first normalized year of operation. Include figures for the amendment only; i.e., do not include revenue estimates for the already existing service area.</p>
SCHEDULE 2	Repeat procedure described for Schedule 1 for expenses.	Repeat procedure described for Schedule 1 for expenses.	Repeat procedure described for Schedule 1 for expenses.
SCHEDULE 3	<p>List plant that has been in service for the most recent 12-month accounting period. Columns IV, V, and VI should include total plant figures; i.e., contributed and non-contributed. Columns VII, VIII, and IX should list figures for contributed plant only.</p>	<p>List plant that is expected to be in service at the end of the first normalized year of operation. Columns IV, V, and VI should include total plant figures; i.e., contributed and non-contributed. Columns VII, VIII, and IX should list figures for contributed plant only.</p>	<p>List plant that is expected to be added (or retired) as a result of the proposed amendment of certificate. Columns IV, V, and VI should include dollar figures for total plant additions or deletions; i.e., contributed and non-contributed, at the end of the first normalized year of operation. Columns VII, VIII, and IX should list figures for contributed plant only.</p>
SCHEDULE 4	<p>Compute weighted costs of capital for utility. Leave Line 2A blank. Attach supplementary schedule listing all long-term debt obligations. Include all terms of each obligation, date it was incurred, interest rate, and repayment obligations.</p>	<p>Compute weighted costs of capital for each utility. Leave Line 2A blank. Attach supplementary schedule listing all long-term debt obligations. Include all terms of each obligation, date it was incurred, interest rate, and repayment obligations.</p>	<p>Compute weighted cost of capital for utility. Include on Line 2 all long-term debt prior to financing the proposed addition. Include on Line 2A any additional long-term debt incurred to finance the proposed addition. Attach supplementary schedule explaining all debt obligations included in Line 2A, their terms, dates incurred, interest rates, and repayment obligations. If applicable, explain any increases in equity related to financing the proposed addition.</p>
SCHEDULES 5-7: Follow computational instructions given on schedules.			

SCHEDULE 1

OPERATING REVENUES

Itemize below all operating revenues using account codes prescribed by the Uniform System Of Accounts (USOA).

Line #	USOA Account Number	II Description	III Actual for Most Recent 12-Month Period *	IV Pro Forma Adjustments to Operating Revenues *	V Total Estimated Revenues at End of First Normalized Year of Operation
1			\$	\$	\$
2			\$	\$	\$
3			\$	\$	\$
4			\$	\$	\$
5			\$	\$	\$
6			\$	\$	\$
7			\$	\$	\$
8			\$	\$	\$
9			\$	\$	\$
10			\$	\$	\$
11			\$	\$	\$
12			\$	\$	\$
13			\$	\$	\$
14			\$	\$	\$
15			\$	\$	\$
16			\$	\$	\$
17			\$	\$	\$
18			\$	\$	\$
19			\$	\$	\$
20		TOTAL	\$	\$	\$

* Not applicable to new utilities or amendments to existing certificated utilities.

Attach separate sheet explaining sources of estimated revenues indicated above. For each class of customers, derive estimated annual revenues using proposed rates and number of customers.

SCHEDULE 2

OPERATING EXPENSES [Excluding Depreciation and Income Taxes]

Itemize below all operating expenses using account codes prescribed by the Uniform System Of Accounts (USOA).

Line #	I USOA Account Number	II Description	III Actual for Most Recent 12-Month Period *	IV Pro Forma Adjustments to Operating Expenses *	V Total Estimated Expenses at End of First Normal- ized Year of Operation
1			\$	\$	\$
2			\$	\$	\$
3			\$	\$	\$
4			\$	\$	\$
5			\$	\$	\$
6			\$	\$	\$
7			\$	\$	\$
8			\$	\$	\$
9			\$	\$	\$
10			\$	\$	\$
11			\$	\$	\$
12			\$	\$	\$
13			\$	\$	\$
14			\$	\$	\$
15			\$	\$	\$
16			\$	\$	\$
17			\$	\$	\$
18			\$	\$	\$
19			\$	\$	\$
20		TOTAL	\$	\$	\$

* Not applicable to new utilities or amendments to existing certificated utilities.
 Attach separate sheet explaining estimated operating expenses indicated above.

SCHEDULE 3 (Page 1 of 2)

UTILITY PLANT AND DEPRECIATION

List Plant Categories by Account According to the Uniform System of Accounts (USOA). Indent and List Separately Major Categories or Units of Plant that are in the Same Account

Line #	USOA Acct. #	Plant Description II	Deprecia- tion Rate III	Total Plant (i.e., Contributed and Non-Contributed) for Most Recent 12-Month Period *			Contributed Plant for Most Recent 12-month Period *		
				Original Cost IV	Depreciation Expense V	Accumulated Depreciation VI	Original Cost VII	Amortization Expense VIII	Accumulated Amortization IX
1				\$	\$	\$	\$	\$	\$
2				\$	\$	\$	\$	\$	\$
3				\$	\$	\$	\$	\$	\$
4				\$	\$	\$	\$	\$	\$
5				\$	\$	\$	\$	\$	\$
6				\$	\$	\$	\$	\$	\$
7				\$	\$	\$	\$	\$	\$
8				\$	\$	\$	\$	\$	\$
9				\$	\$	\$	\$	\$	\$
10				\$	\$	\$	\$	\$	\$
11				\$	\$	\$	\$	\$	\$
12				\$	\$	\$	\$	\$	\$
13				\$	\$	\$	\$	\$	\$
14				\$	\$	\$	\$	\$	\$
15				\$	\$	\$	\$	\$	\$
16				\$	\$	\$	\$	\$	\$
17				\$	\$	\$	\$	\$	\$
18				\$	\$	\$	\$	\$	\$
19				\$	\$	\$	\$	\$	\$
20				\$	\$	\$	\$	\$	\$
SUBTOTAL				\$	\$	\$	\$	\$	\$

* Utilities with no operating history shall include an estimate for the end of the first normalized year of operation. Utilities seeking an amendment of certificate shall ONLY include an estimate of plant to be added as a result of the amendment.

SCHEDULE 3 (Page 2 of 2)

UTILITY PLANT AND DEPRECIATION

List Plant Categories by Account According to the Uniform System of Accounts (USOA). Indent and List Separately Major Categories or Units of Plant that are in the Same Account

Line #	USOA Acct. #	Plant Description	Depreciation Rate III	Total Plant (i.e., Contributed and Non-Contributed) for Most Recent 12-Month Period			Contributed Plant for Most Recent 12-month Period *		
				Original Cost IV	Depreciation Expense V	Accumulated Depreciation VI	Original Cost VII	Amortization Expense VIII	Accumulated Amortization IX
20		SUBTOTAL		\$	\$	\$	\$	\$	\$
21				\$	\$	\$	\$	\$	\$
22				\$	\$	\$	\$	\$	\$
23				\$	\$	\$	\$	\$	\$
24				\$	\$	\$	\$	\$	\$
25				\$	\$	\$	\$	\$	\$
26				\$	\$	\$	\$	\$	\$
27				\$	\$	\$	\$	\$	\$
28				\$	\$	\$	\$	\$	\$
29				\$	\$	\$	\$	\$	\$
30		TOTAL		\$	\$	\$	\$	\$	\$
DEPRECIATION									
31		Depreciation on Total Plant (Col. V, Line 30)							
32		Less Amortization on Contributed Plant (Col. VIII, Line 30)							
33		DEPRECIATION ON NON-CONTRIBUTED PLANT (Line 31 Less Line 32)							
ACCUMULATED DEPRECIATION									
34		Accumulated Depreciation on Total Plant (Col. VI, Line 30)							
35		Less Accumulated Amortization on Contributed Plant (Col. IX, Line 30)							
36		ACCUMULATED DEPRECIATION ON NON-CONTRIBUTED PLANT (Line 34 less Line 35)							

SCHEDULE 4

COMPUTATION OF WEIGHTED COST OF CAPITAL

Weighted Cost of Capital Computation for TOTAL Company				
	Amount I	% of Total Capitalization II	Cost of Capital III	Weighted Cost (Column II x III) IV
1 Equity	\$ _____	_____	_____	(A) _____
2 Long-Term Debt *	\$ _____	_____	_____	(B) _____
2A Debt Associated With Expansion *	\$ _____	_____	_____	(C) _____
3 TOTAL	\$ _____	100%	*****	_____
				Add (A), (B) and (C)

* Attach Separate Schedule

SCHEDULE 5

COMPUTATION OF RATE BASE AND RETURN

I

Line #		Estimate at End of First Normalized Year of Operation

TOTAL PLANT		
1	Plant in Service (Schd. 3, Col. IV, Line 30)	\$ _____
2	Less: Accum. Depreciation (Schd. 3, Col. VI, Line 30)	\$ _____
3	Net Total Plant	\$ _____
CONTRIBUTED PLANT		
4	Contributed Plant (Schd. 3, Col. VII, Line 30)	\$ _____
5	Less: Accum. Amortization (Schd. 3, Col. IX, Line 30)	\$ _____
6	Net Contributed Plant	\$ _____
COMPUTATION OF NET PLANT-IN-SERVICE		
7	Net Total Plant (Line 3)	\$ _____
8	Less: Net Contributed Plant (Line 6)	\$ _____
9	Net Plant-in-Service	\$ _____
WORKING CAPITAL REQUIREMENT		
10	12.5 % of (Schd. 2, Col. V, Line 20) Applies to monthly billing NOT in advance	\$ _____
11	Materials and Supplies	\$ _____
12	Prepayments (Attach supplementary schedule)	\$ _____
13	Customer Deposits	\$ _____
14	Other Adjustments (Attach supplementary schedule)	\$ _____
15	RATE BASE (Line 9 + 10 + 11 + 12 - 13 + 14)	\$ _____
16	Rate of Return (Schd. 4, Col. IV, Line 3)	_____ %
17	RETURN ON RATE BASE (Line 15 x Line 16)	\$ _____

SCHEDULE 6

TAX COMPUTATION

1	Rate Base (Schedule 5, Line 15)	\$	_____
2	Weighted Cost of Equity (Schd. 4, Col. IV, Line 1)	\$	_____
3	Return on Equity (Line 1 x Line 2)	\$	_____
4	Add: Income Taxes as Computed Below	\$	_____
5	Total Amount Subject to Income Taxes (Line 3 + Line 4)	\$	_____

COMPUTATION OF INCOME TAXES

A. STATE (show computation)

6 State Income Tax.....\$ _____

B. FEDERAL (show computation)

7 Federal Income Tax.....\$ _____

8 TOTAL INCOME TAXES (Line 6 + Line 7) \$ _____

Line
#

1	Operating Expenses [Excluding Depreciation and Income Taxes](From Schd. 2, Col. V, Line 20)	\$	_____
2	Add: Depreciation (From Schd. 3, Line 33)	\$	_____
3	Return on Rate Base (From Schd. 5, Line 17)	\$	_____
4	State and Federal Income Taxes (From Schd. 6, Line 8)	\$	_____
5	TOTAL: REVENUE REQUIREMENT	\$	_____

=====

6	Revenues (From Schd. 1, Col. V, Line 20)	\$	_____
7	OVER (OR UNDER) RECOVERY (Line 5 less Line 6)	\$	_____

RCA No. _____

Sheet No. _____

Canceling

Sheet No.

Tariff Advice No. _____

Effective _____

Issued By: _____

By: _____

Title: _____

**REGULATORY COMMISSION OF ALASKA
MASTER UTILITY DIRECTORY INFORMATION**

Please complete form and return to:

Records and Filings
Regulatory Commission of Alaska
701 West Eighth Ave., Suite 300
Anchorage, AK 99501-3469
In Anchorage (907) 276-6222
Within Alaska (800) 390-2782

GENERAL INFORMATION UPDATE FORM

Name of Pipeline/Utility Carrier:_____

Address:_____

_____ Zip Code:_____

Telephone No:_____ Fax No:_____

EIN Number:_____ Certificate No.:_____

MANAGEMENT

List all principal management personnel

Name	Address	Local Manager	Phone No.
President:_____			
General Manager:_____			
Principal Attorney(s):_____			
Accountant:_____			
Engineer:_____			

Service Area Information

For all principal management personnel

Location	Local Address	Local Manager	Phone No.

