APPLICATION FORM FOR INTRASTATE INTEREXCHANGE CARRIERS AND ALTERNATE OPERATOR SERVICE PROVIDERS NOT SUBJECT TO

<u>CERTIFICATION: 1 -- REGISTRATION UNDER 3 AAC 52.358 (For applicants not currently certificated to provide intrastate interexchange telecommunications)</u>²

<u>Instructions:</u> Please complete sections 1-3 below. In accordance with 3 AAC 48.095, file a complete application electronically or on paper to the Regulatory Commission of Alaska,³ along with an original and two copies (except as noted otherwise) of:

- \$100 registration fee (check or money order only, payable to "Regulatory Commission of Alaska") (3 AAC 52.358(b))
- \$5,000 bond if applicant will require prepayment of any service (3 AAC 52.358(e)(1))
- \$1,000 bond if applicant will not require prepayment of any service (3 AAC 52.358(e)(2))
- a copy of the applicant's initial online tariff in accordance with 3 AAC 52.367 (3 AAC 52.358(b)(12))
- a signed tariff content verification form (3 AAC 52.358(b)(13))
- proof of incorporation, if applicable (3 AAC 52.358(b)(4))
- proof of authority to do business in Alaska (3 AAC 52.358(b)(5)) (see https://www.commerce.alaska.gov/web/cbpl/BusinessLicensing.aspx)
- request for waiver of Commission rule(s) (if needed)

<u>se</u>	ction 1: Preliminary Questions for Applicant:
(i)	Has this applicant previously attempted to register under 3 AAC 52.358?
	Yes No
(ii)	If the answer to the previous question was yes, please provide the following information:
	a. What was the exact company name used for the last filing?
	b. When was the previous application filed?
	c. What RCA file or receipt number was assigned to your previous application, if any?
(iii)	Is the applicant requesting a waiver of any Commission rules? Yes No
(iv)	Determination of Bond Amount:
	a. Will applicant be providing prepaid services? Yes No
	b. If applicant will be providing prepaid services, has it provided a \$5,000 bond? Yes No

Application Form RE301
Registration for AOS Providers and New IXCs
Page 1 of 4
v2 06/22/2017

¹ An entity, including a registered interexchange carrier, that proposes to provide facilities-based intrastate interexchange telephone service; an intrastate incumbent local exchange carrier; an entity that provides service to inmates in a correctional facility; and an entity affiliated with either a facilities-based interexchange carrier or an incumbent local exchange carrier, must file an application for a **certificate** of public convenience and necessity. A registered interexchange carrier or alternate operator service provider with more than one million intrastate billable minutes for three consecutive months shall also file an application for a certificate of public convenience and necessity in compliance with this section. 3 AAC 52.360(a).

² This form is for alternate operator service (AOS) providers and IXCs not currently certificated. Current certificated IXCs converting to registration under 3 AAC 52,358(c) must use RCA Form RE302. A currently registered entity seeking to renew its registration under 3 AAC 52.358(g) must file RCA Form RE303.

³ 701 West Eighth Avenue, Suite 300, Anchorage, Alaska 99501.

RCA Form RE301

 c. If applicant will not be providing prepaid services, has it provided a \$1,000 bond? Yes No
Section 2: The applicant must complete the following items. Please print or type.
(3 AAC 52.358(b)(1)) What is the applicant's legal name and the name under which the applicant proposes to do business?
(3 AAC 52.358(b)(2)) What are the addresses of the applicant's principal national and Alaskan place of business?
(3 AAC 52.358(b)(3)) What is the name, title, and telephone number of the individual who is the applicant's liaison with the commission in regard to the registration?
(3 AAC 52.358(b)(4)) What is the applicant's type of business structure (corporation, partnership, LLC, etc.)? If incorporated provide name and address of registered agent.
(3 AAC 52.358(b)(6)) Please provide a list of the owners of five percent or more of the applicant's equity.
(3 AAC 52.358(b)(7)) Please provide a list of the applicant's affiliated interests, as defined in AS 42.05.990(1).
Please provide a list of all administrative and judicial proceedings that resulted in:
(A) (3 AAC 52.358(b)(8)(A)) suspension, revocation, or denial of the authority, license, or certification of the applicant or its officers, directors, or affiliates to provide utility services;
(B) (3 AAC 52.358(b)(8)(B)) a reprimand, penalty, or conviction of the applicant or its officers,

Application Form RE301 Registration for AOS Providers and New IXCs Page 2 of 4 v2 06/22/2017

RCA Form RE301

directors, or affiliates related to operations, gross misrepresentations, fraudulent transactions or securities violations; or
(C) (3 AAC 52.358(b)(8)(C)) an adjudication of bankruptcy or a reorganization in bankruptcy of the applicant or its officers, directors, or affiliates;
(3 AAC 52.358(b)(9)) Please provide a list of all cases and locations in which the applicant, or its officers, directors, or affiliates, have abandoned service in violation of applicable statutes, regulations or orders.
(3 AAC 52.358(b)(10)) Please provide a list of the names, titles, and responsibilities of key management now employed or to be employed by the applicant, and resumes for each person.
(3 AAC 52.358(b)(11)) Please provide a list of all services proposed, together with an explanation of the applicant's technical ability to provide the proposed services?
(3 AAC 52.358(b)(14)) What is the Internet address of the applicant's on-line tariff?
(3 AAC 52.358(b)(15)) Please indicate whether resold services will be obtained from: (A) another carrier's intrastate wholesale tariff; (B) another carrier's intrastate retail tariff; (C) an interstate contract or tariff; or (D) some other mechanism. Please explain the selection above:

RCA Form RE301

Section 3:

(3 AAC 52.358(b)(16)) Verification and Agreement

The applicant verifies that all information and responses provided above are fully responsive, complete, truthful, and accurate; and that the applicant is qualified to file for registration under 3 AAC 52.358. If any part of this application is found by the Commission to be incomplete, the application will be rejected and the registration fee will be forfeited.

Printed Name and Title of Authorized Company Official:			
Signature of Authorized Company Official:			
	Date:		

Notice to Individual Applicants:

Unless otherwise made confidential or protected from disclosure under AS 42.05.671(b), all personal information, as defined in <u>AS 40.25.350(2)</u>, provided in this form is considered part of the public record and may be subject to disclosure and copying under AS 42.05.671(a), and <u>AS 40.25.110 - 40.25.120</u>. Personal information obtained through this form is governed by <u>AS 40.25.300 - 40.25.350</u>, which requires notice when collecting personal information that may become part of the public record.

Requested information will be used only to determine whether this application for registration as an intrastate interexchange carrier or alternate operator service provider should be granted. If you believe the personal information maintained by the Commission is inaccurate or incomplete, you may file a written request with the Commission for the information to be changed. The request must provide the details required in AS 40.25.310.