

Procedures for Submitting an Annual Report Extension Request

Step 1: Open your Internet browser and enter the Commission's web address.

<http://rca.alaska.gov/RCAWeb/Home.aspx>.

Step 2: From the website's home page, enter your Commission issued **User name** and **Password** in the boxes shown in the upper right corner of the page. Click the **Login** button.

To request a login please follow the **Procedures for Requesting a User Name and Password** which can be found on the Commission's website at

<https://rca.alaska.gov/RCAWeb/Documents/Help/RequestingLogin.pdf> or send an electronic mail to RCAElectronicFiling@alaska.gov.

Please make sure that you are familiar with the Commission's procedural rules and specific filing requirements, including electronic filing. You should also be familiar with the

Commission's Filing Submission Guide which can be found at

<https://rca.alaska.gov/RCAWeb/Documents/Help/SubmissionGuide.pdf>.

The screenshot shows the homepage of the Regulatory Commission of Alaska. At the top right, there is a navigation menu with links for 'About the RCA', 'Contact Us', 'Site Map', and 'Help'. Below this, a login form is highlighted with a red circle, containing input fields for 'name' and 'password', and a 'login' button. The main header features the commission's logo and name, along with the tagline 'Protecting consumer interests. Promoting economic development.' Below the header is a navigation bar with buttons for 'What's New at the RCA', 'RCA Exchange', 'For Consumers', 'For Legislators', 'RCA Library', 'Programs and Utilities', and 'Provisional Certification'. The page is divided into several sections: 'Calendar of Events' with a 'View Current Month' link and sub-sections for 'HEARINGS', 'PUBLIC MEETINGS', 'TARIFF ACTION MEETINGS', and 'WORKSHOPS'; 'Headlines' with an 'RSS' icon and a list of recent news items; 'Public Information' with links to 'View Public Notices & Submit Comments', 'File an Informal Complaint', 'Regulatory Affairs & Public Advocacy', and 'Utility Rate Information'; and 'Top Searches' with search boxes and 'Go' buttons for 'Certificate Number?', 'Entity?', 'Matter Number?', 'Order Number?', and 'Tracking Number?'. The 'Worksshops' section indicates that no workshops are scheduled for the current month.

Step 3: Once you are logged into the Commission’s electronic filing system, you will see a list of dark blue tabs that run horizontally across the top of the screen. The second tab in this list is the **RCA Exchange** tab. Place your cursor over the tab and click the **Submit Annual Report** menu option. This will give you two filing options. Click the **Submit Annual Report Extension Request** option.

If you are submitting a **Filing, a Petition for Intervention, an Annual Report, a Public Comment or a Quarterly RCC Report**, please see the instructions that relate to those filing types.



Step 4: On the **Select Entity** screen you will be asked to select the **Company** or **Entity** that is submitting the annual report extension request.

The company that you work for or the entity you are filing on behalf of will be listed in the **Select the Entity** drop down menu. Select the **Company** from the drop down menu box and click the **Submit** button. If your company is not listed in the drop down menu, please contact the Commission’s webmaster at webmaster.rca@alaska.gov.

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Step 1. Select Entity | Step 2. Select Certificate | Step 3. Enter Extension Request | Step 4. Upload Files | Step 5. Enter Preliminary Data | Step 6. Confirmation

Select Entity

Select the entity for which to submit a report*

REGULATORY COMMISSION OF ALASKA ▼

Important: While submitting a filing, do not use your browser's "Back" button as this may cause errors in your submission. To move between steps, use the buttons provided at the bottom of each screen.

Submit | Cancel

* = Required field

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Phone: (907) 276-6222 Fax: (907) 276-0160 TTY: (907) 276-4531
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Webmaster: webmaster.rca@alaska.gov

Click the **Down Arrow** in order to view the all of the options in the drop down menu.

Step 5: On the **Select Certificate** screen you will be asked to select the **Certificate of Public Convenience and Necessity** that has been issued to the entity submitting the annual report extension request.

Each certificate that is related to the company you selected in Step 4 will be listed in the **Select the Certificate** drop down menu. Select a **Certificate** from the drop down menu box and click the **Next** button. If the appropriate certificate is not listed in the drop down menu, please contact the Commission's webmaster at webmaster.rca@alaska.gov.

Please be aware that using the **Back** button in your web browser will cause you lose any information you have already entered into the Commission's electronic filing system. If you wish to return to a previous screen you should use the **Back** button on the bottom of the screen.

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Select Certificate

Select the Certificate for which to submit an extension request

789 ▼

Back | Next | Cancel

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Use this **Back** button to return to a pervious screen.

Step 6: On the **Extension Request** screen there will be a data entry box and a check box.

The data entry box requires you to enter the **Date** you wish the annual report deadline extended to and the **Reason(s)** for the requested extension. This can be a simple statement such as:

“XYZ Utility hereby requests that the Commission extend the time for submitting the [YEAR] annual report, to [REQUESTED EXTENSION DATE] or as the Commission otherwise deems appropriate. This request is made in order to [LIST REASON(S) FOR REQUESTED EXTENSION].”

The check box on the bottom of the screen will allow you to **Upload** additional files in support of the annual report extension request. To begin the process of uploading files, place your cursor over the **I Have Files to Upload** check box and **Left Click**. A green **Check Mark** will appear in the box, click the **Submit** button.

If you don't need to upload any additional files, click the **Submit** button and move to **Step 8**.

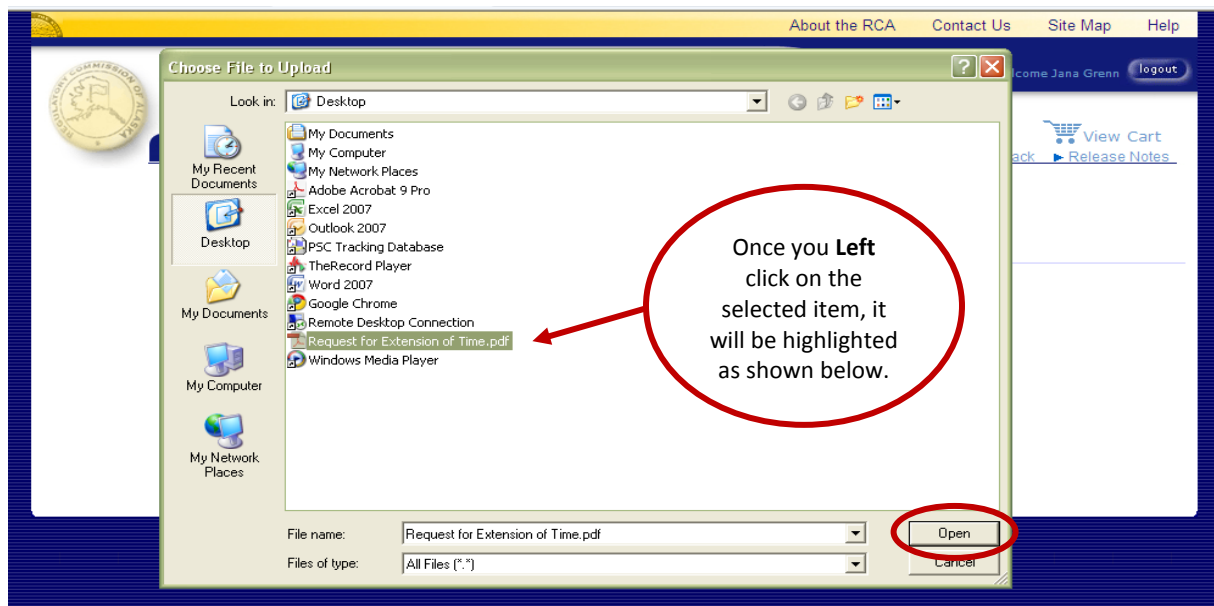
The screenshot shows the 'Regulatory Commission of Alaska' website. The navigation bar includes links for 'About the RCA', 'Contact Us', 'Site Map', and 'Help'. The main header features the commission's logo and the text 'Regulatory Commission of Alaska' with the tagline 'Protecting consumer interests. Promoting economic development'. Below the header is a menu with categories: 'What's New at the RCA', 'RCA Exchange', 'For Consumers', 'For Legislators', 'RCA Library', 'Programs and Utilities', and 'Provisional Certification'. A progress bar indicates the current step: 'Step 3. Enter Extension Request'. The main content area is titled 'Extension Request' and contains the following text: 'Enter the date to which you want the deadline extended and the reason(s) for requesting the extension. You may also upload files with additional information.' Below this is a text input field containing the example text: 'XYZ Utility hereby requests that the Commission extend the time for submitting the 2012 annual report, to April 30, 2012 or as the Commission otherwise deems appropriate. This request is made in order to allow XYZ Utility more time to pull together its reporting numbers. XYZ Utility's Chief Financial Officer left in January 2012 and the utility has been working to prepare the reports that have previously been submitted by the person in that position.' Below the text field is a checkbox labeled 'I have files to upload' which is checked. At the bottom of the form are three buttons: 'Back', 'Submit', and 'Cancel'. A red circle highlights the text input field with the instruction: 'The extension request Date and the Reason(s) for the request should be entered here.' At the bottom of the page, contact information is provided: '701 West Eighth Avenue, Suite 300 Anchorage, Alaska 99501-3469', 'Phone: (907) 276-6222 Fax: (907) 276-0160 TTY: (907) 276-4533', 'Toll Free: (1-800) 390-2782 (outside Anchorage, within Alaska)', and 'Webmaster: webmaster.rca@alaska.gov'.

Step 7: On the **Upload File** screen there are two data entry boxes.

The first box requires uploading the **File(s)** that are associated with the extension request. To upload a file you must click the **Browse** button on the right side of the **File** box. This will enable you to locate the files you want to include as part of the extension request, by searching in the location on your computer where they are saved.

Please note that all electronic files submitted to the Commission must be in portable document format (typically with a file extension of .pdf). In addition, all files must be text-searchable regardless of whether the document was scanned or produced directly from a native electronic file.

Once you have located the file you wish to include as part of the extension request, highlight the document by placing your cursor over its name and **Left** click it. You may then click the **Open** button on the bottom of the screen.



This will bring you back to the **Upload File** screen. The location and name of the file will now appear in the **File** box.

The second box requires an easily understandable **Description** of the file that you just uploaded in the **File** box. Please review the **Commission's Electronic Filing Guide** for file description examples.

Once you have entered a **Description** for the file, click the **Add** button on the right side of the Description box. Please note that if you have multiple files that you are associating with one extension request, you should provide a distinct **Description** for each.

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Upload File
Select the file to upload and click Submit.

File: C:\Documents and Set [Browse...]
Description: XYZ Utility's Annual RC [optional] [Add] *Each file cannot be larger than 40 MB.

[Submit] [Cancel]

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Enter a **Description** of the File here.

The name, size and description of the file will appear under a set of light blue boxes at the bottom of the **Upload File** screen. If at any time you wish to delete a file that you have associated with the extension request, click the **Delete** button (highlighted in light blue) on the right side of the file name, size and description.

You can **Repeat** the steps described above for each **File** you wish to associate with the annual report extension request.

Once you have entered uploaded all of the **Files** you want to associate with the extension request and each of them appears under the light blue boxes on the bottom of the **Upload Files** screen, click the **Submit** button.

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Upload File
Select the file to upload and click Submit.

File: [] [Browse...]
Description: XYZ Utility's Annual RC [optional] [Add] *Each file cannot be larger than 40 MB.

Name	Size	Description	
Request for Extension of Time.pdf	20818	XYZ Utility's Annual RCC Report Extension Request	[Delete]

[Submit] [Cancel]

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A list of all of the **Files** you have associated with the extension request will be listed here.

Step 8: On the **Annual Report Data** screen you will be asked to enter the company’s preliminary beginning and ending balances from the **Balance Sheet**, the Total Revenues, Expenses and Net Income from the **Income Statement** and the appropriate **Metrics**. You will also be required to enter the appropriate **Filing Year** from the drop down menu box at the top of the screen.

Once you have entered the required information, click the **Submit** button.

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Annual Report Data

Year Ending December 31, 2011 Certificate #: 789 (RCA - TEST for eFiling)

From the Balance Sheet

	Beginning	Ending
Gross Plant in Service	\$ 0.00	\$ 0.00
Net Plant in Service	\$ 0.00	\$ 0.00
Inventory	\$ 0.00	\$ 0.00
Prepayments	\$ 0.00	\$ 0.00
Current Assets	\$ 0.00	\$ 0.00
Deferred Tax Assets	\$ 0.00	\$ 0.00
Long Term Debt	\$ 0.00	\$ 0.00
Current Liabilities	\$ 0.00	\$ 0.00
Deferred Tax Liabilities	\$ 0.00	\$ 0.00
Shareholder Equity	\$ 0.00	\$ 0.00

From the Income Statement

Revenues

Residential Revenue \$ 0.00

Revenues from Resale \$ 0.00

Gross Operating Revenue \$ 0.00

Expenses

Operating Expenses \$ 0.00

Uncollectable Revenue \$ 0.00

Interest on Long Term Debt \$ 0.00

Current Tax Expense \$ 0.00

Net Income \$ 0.00

Metrics

Number of Customers 0

Number of Residential Customers 0

Total Cubic Feet 0

Residential Cubic Feet 0

Submit Cancel

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Step 9: On the **Electronic Filing Submitted** screen there will be a detailed summary of the information regarding the annual report extension request. Specifically, you should see data entered for the following:

- An electronic filing **Tracking Number**, which is automatically generated by the Commission's electronic filing system;
- The **Name of the Person** submitting the extension request;
- The **Date/Time** the extension request was submitted, which is automatically generated by the Commission's electronic filing system;
- The **Filing Description**, which is automatically generated by the Commission's electronic filing system; and
- A list of the **File(s)** that are uploaded in support of the extension request.

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Electronic Filing Submitted

Tracking Number: TR1200011
Filer: Jana A Grenn
Submission Date: 1/18/2012 2:15:12 PM
Description: Annual Report Request for Extension of Time by REGULATORY COMMISSION OF ALASKA
Files Uploaded: Request for Extension of Time.pdf

You must click on "Finished" to complete this transaction.
Thank you for your submittal. Please retain your tracking number for future reference.

Finished View Printable Version

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Once you have verified that all of the information listed on the **Electronic Filing Submitted** screen is complete, click the **Finished** button on the bottom of the screen.

If you do not click the **Finished** button, the extension request submission will not be complete and will not be filed with the Commission.

You may print a copy of the Electronic Filing Submitted screen by clicking on the **View Printable Version** button (highlighted in light blue) to the right side of the **Finished** button. Electronic filers are encouraged to print a copy of this screen for their records.

Please note that the Commission will review the annual report extension request for compliance with its filing requirements. If the extension request passes review, you will be sent an electronic mail with a **Tracking Number** for the filing. Once you receive a Tracking Number, the extension request will be available on the Commission's website.

If the extension request does not pass the Commission's review, you will be sent an electronic mail informing you that the filing has been rejected and the reason for the rejection.